

編號 Ref. No.:	MO/DT/233/21
日期 Date:	29/10/2021

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通告 CIRCULAR

Subject: Rehearsal on the Polling Mechanism for Derivatives Market Contingencies on
1 December 2021

Enquiry: HKATS Hotline : 2211-6360¹ / Email: HKATSupport@hkex.com.hk

Upon contingency situation(s) happens, it is important that the Exchange can receive direct feedbacks from Exchange Participants (“EPs”) to facilitate assessment on overall impacts and consider the appropriate actions. One of the communication channel is electronic polling. The Exchange will obtain some EPs’ feedback through electronic polling.

In order to assist EPs to get familiarized with the polling mechanism and able to provide timely response upon contingencies, a **mandatory** rehearsal on the polling mechanism for derivatives market contingencies (the “Rehearsal”) will be conducted on Wednesday, 1 December 2021 between 4:30pm and 5:00pm tentatively. Details of the Rehearsal is as follows.

Before the Rehearsal (from now to 15 November 2021)

Each EP is required to designate 2 Responsible Officers as contact persons to respond to the polling questions during the Rehearsal and in real life contingency situations, by returning the completed “Designated Emergency Contact Persons for Derivatives Market Contingencies” form ([Attachment 1](#)) to Trading Department of HKEX **by email** on or before 15 November 2021.

During the Rehearsal (on 1 December 2021)

An email with the hyperlink to a simulated contingency questionnaire will be sent to the designated contact persons who are required to complete the online questionnaire within the specified time limit. For details, please refer to the guideline ([Attachment 2](#)).

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/eng/global/privacy_policy.htm

Thank you in advance for your participation.

Sanly Ho
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