

編號 Ref. No.: CT/027/21

日期 Date:

01/03/2021

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Order Input & Trade Cancellation/Amendment

Enquiry: Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Exchange Participants (EPs) are reminded that all order inputs are valid and all trades concluded shall not be amended or cancelled unless otherwise determined by the Board of the Exchange. Under the current procedures for approving trade cancellation/ amendment, cancellation and/or amendment of trade must be agreed by both the selling and buying parties.

EPs should ensure that all bids and offers are firm and all details input into the System are accurate. EPs must exercise due care when inputting orders into the System.

EPs are also requested to take note that except as may otherwise be permitted by the Board or the Chief Executive of the Exchange, under no circumstances shall an EP deal with an EP whose Exchange Participantship or right to access the System has been suspended.

Olivia Mak
Senior Vice President
Trading Operations
Markets Division

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here</u>.