

**<Attachment 1: Trading Arrangements of CSOP HANG SENG INDEX ETF>****1. Trading Model**

Name of the Fund	CSOP HANG SENG INDEX ETF
Stock Code	3037
Stock Short Name	CSOP HSI ETF
Trading Currency	HKD
Underlying Benchmark	Hang Seng Index
Securities Market Maker	<ul style="list-style-type: none"><li>• ABN AMRO Clearing Hong Kong Limited (Registered Designated Specialist: Vivienne Court Trading Pty. Ltd.)</li><li>• BNP Paribas Securities (Asia) Limited</li><li>• Flow Traders Hong Kong Limited</li><li>• Head &amp; Shoulders Securities Limited</li><li>• HSBC Securities Brokers (Asia) Limited</li><li>• Optiver Trading Hong Kong Limited</li></ul>
Market Making Obligation	Please see Attachment 2 of this circular and the 14 <sup>th</sup> Schedule of the Rules of the Exchange
Board Lot Size	100 units
Net Asset Value per Unit	Please refer to the ETF(s) <a href="#">website</a>
Spread	Based on the Spread Table (Part D) in the Second Schedule to the Rules of the Exchange
Short Selling	Allowed; with tick rule exemption
Transaction Levy	0.0027% per side with exemption for market making transactions only
Investor Compensation Levy	Currently suspended
Trading Fee	0.005% per side with exemption for market making transactions only
Trading Tariff	HK\$0.50 per side per transaction (same as other securities)
Stamp Duty	Not Applicable

## **2. Fund Information**

To facilitate trading of the CSOP HANG SENG INDEX ETF, relevant information will be available as follows:

- Stock information will be available on designated stock counter 3037;
- The Trustee / Manager of the Fund will provide financial information on the Fund, including net asset value per unit, total units outstanding, asset under management, etc. on the HKEXnews website (<http://www.hkexnews.hk/>);
- Details about the Fund are available on the website <http://www.csopasset.com/en/products/hk-hsi>.

## **3. Settlement**

Settlement will be conducted on T+2 day through Hongkong Clearing's CCASS on the Continuous Net Settlement basis.