

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **REHEARSAL ON THE POLLING MECHANISM FOR CASH MARKET CONTINGENCIES ON 1 DECEMBER 2021**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Upon contingency situation(s) happens, it is important that the Exchange can receive direct feedbacks from Exchange Participants (“EPs”) to facilitate assessment on overall impacts and consider the appropriate actions. One of the communication channel is through electronic polling.

In order to assist EPs to get familiarized with the polling mechanism and able to provide timely response upon contingencies, a **mandatory** rehearsal on the polling mechanism for cash market contingencies (the “Rehearsal”) will be conducted on Wednesday, 1 December 2021 between 5:00pm and 5:30pm tentatively. Details of the Rehearsal is as follows.

Before the Rehearsal (from now to 15 November 2021)

Each EP is required to designate 2 Responsible Officers as contact persons to respond to the polling questions during the Rehearsal and in real life contingency situations, by returning the completed “Designated Emergency Contact Persons for Cash Market Contingencies” form ([Attachment 1](#)) to Trading Department of HKEX through Electronic Communication Platform (ECP) on or before 15 November 2021.

During the Rehearsal (on 1 December 2021)

An email with the hyperlink to a simulated contingency questionnaire will be sent to the designated contact persons who are required to complete the online questionnaire within the specified time limit. For details, please refer to the guideline ([Attachment 2](#)).

Thank you in advance for your participation.

Olivia Mak
Senior Vice President
Trading Department
Operations Division

¹All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).