

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **(1) Trading Arrangements; and (2) Submission of Stamp Duty Daily Return, Options Market Maker Jobbing Transaction Composite Reporting Form, ETF Market Makers Underlying Stock Transactions Reporting Form and ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) During Eves of Christmas, New Year and Lunar New Year**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel : 2840 3626 E-mail : [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

### 1. Trading Arrangements

Exchange Participants (EPs) are requested to note that in accordance with Rule 501 of the Rules of the Exchange on trading hours, there will be **no afternoon trading session in Hong Kong securities market on the eves of coming Christmas (Friday, 24 December 2021), New Year (Friday, 31 December 2021) and Lunar New Year (Monday, 31 January 2022).**

For reference, the respective first days of ex-entitlements quotation of securities which are different from the normal schedule due to no settlement on the eves of coming Christmas, New Year and Lunar New Year is summarized below: -

For an issue of securities with its book closure date(s) commencing from	First Ex-Entitlements Trading Date due to no settlement on the eve day
Friday 24 December 2021	<b>Wednesday 22 December 2021*</b>
Saturday 25 December 2021	<b>Wednesday 22 December 2021</b>
Sunday 26 December 2021	<b>Wednesday 22 December 2021</b>
Monday 27 December 2021	<b>Wednesday 22 December 2021</b>
Tuesday 28 December 2021	<b>Wednesday 22 December 2021</b>
Wednesday 29 December 2021	<b>Thursday 23 December 2021</b>
Thursday 30 December 2021	<b>Tuesday 28 December 2021*</b>
Friday 31 December 2021	<b>Wednesday 29 December 2021*</b>

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

For an issue of securities with its book closure date(s) commencing from	First Ex-Entitlements Trading Date due to no settlement on the eve day
Saturday 1 January 2022	<b>Wednesday 29 December 2021</b>
Sunday 2 January 2022	<b>Wednesday 29 December 2021</b>
Monday 3 January 2022	<b>Wednesday 29 December 2021</b>
Tuesday 4 January 2022	<b>Thursday 30 December 2021</b>
Wednesday 5 January 2022	<b>Monday 3 January 2022*</b>
Monday 31 January 2022	<b>Thursday 27 January 2022*</b>
Tuesday 1 February 2022	<b>Thursday 27 January 2022</b>
Wednesday 2 February 2022	<b>Thursday 27 January 2022</b>
Thursday 3 February 2022	<b>Thursday 27 January 2022</b>
Friday 4 February 2022	<b>Thursday 27 January 2022</b>
Saturday 5 February 2022	<b>Friday 28 January 2022</b>
Sunday 6 February 2022	<b>Friday 28 January 2022</b>
Monday 7 February 2022	<b>Friday 28 January 2022</b>
Tuesday 8 February 2022	<b>Friday 4 February 2022*</b>

\* remains unchanged as normal

Please also be reminded that trade amendments and short selling input errors must be reported no later than 9:45 am on the next trading day in accordance with the Rules of the Exchange.

## 2. Submission of Return and Reporting Forms

Similarly, EPs should submit Stamp Duty Daily Return (Form SD-1), Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4), ETF Market Makers Underlying Stock Transactions Reporting Form (Form SD-6) and ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) (Form SD-6A) on the following trading dates: -

### A. Stamp Duty Daily Return (Form SD-1)

Trading Date	Corresponding Submission Date (before 11:00 am)
Tuesday 21 December 2021	<b>Thursday 23 December 2021*</b>
Wednesday 22 December 2021	<b>Tuesday 28 December 2021</b>
Thursday 23 December 2021	<b>Wednesday 29 December 2021</b>
Friday 24 December 2021	<b>Wednesday 29 December 2021*</b>
Tuesday 28 December 2021	<b>Thursday 30 December 2021*</b>
Wednesday 29 December 2021	<b>Monday 3 January 2022</b>
Thursday 30 December 2021	<b>Tuesday 4 January 2022</b>

Trading Date		Corresponding Submission Date (before 11:00 am)	
Friday	31 December 2021	<b>Tuesday</b>	<b>4 January 2022*</b>
Wednesday	26 January 2022	<b>Friday</b>	<b>28 January 2022*</b>
Thursday	27 January 2022	<b>Friday</b>	<b>4 February 2022</b>
Friday	28 January 2022	<b>Monday</b>	<b>7 February 2022</b>
Monday	31 January 2022	<b>Monday</b>	<b>7 February 2022*</b>

\* remains unchanged as normal

EPs must not combine the data for any two or more trading days into one Return.

- B. Options Market Maker Jobbing Transaction Composite Reporting Form (Form SD-4) and ETF Market Makers Underlying Stock Transactions Reporting Form (Form SD-6)

Trading Date		Corresponding Submission Date (before 10:15 am)	
Wednesday	22 December 2021	<b>Thursday</b>	<b>23 December 2021*</b>
Thursday	23 December 2021	<b>Tuesday</b>	<b>28 December 2021</b>
Friday	24 December 2021	<b>Tuesday</b>	<b>28 December 2021*</b>
Wednesday	29 December 2021	<b>Thursday</b>	<b>30 December 2021*</b>
Thursday	30 December 2021	<b>Monday</b>	<b>3 January 2022</b>
Friday	31 December 2021	<b>Monday</b>	<b>3 January 2022*</b>
Thursday	27 January 2022	<b>Friday</b>	<b>28 January 2022*</b>
Friday	28 January 2022	<b>Friday</b>	<b>4 February 2022</b>
Monday	31 January 2022	<b>Friday</b>	<b>4 February 2022*</b>

\* remains unchanged as normal

- C. ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental) (Form SD-6A)

Trading Date		Corresponding Submission Date (before 10:15 am)	
Monday	20 December 2021	<b>Thursday</b>	<b>23 December 2021*</b>
Tuesday	21 December 2021	<b>Tuesday</b>	<b>28 December 2021</b>
Wednesday	22 December 2021	<b>Wednesday</b>	<b>29 December 2021</b>
Thursday	23 December 2021	<b>Thursday</b>	<b>30 December 2021</b>
Friday	24 December 2021	<b>Thursday</b>	<b>30 December 2021*</b>
Tuesday	28 December 2021	<b>Monday</b>	<b>3 January 2022</b>
Wednesday	29 December 2021	<b>Tuesday</b>	<b>4 January 2022</b>

Trading Date		Corresponding Submission Date (before 10:15 am)	
Thursday	30 December 2021	<b>Wednesday</b>	<b>5 January 2022</b>
Friday	31 December 2021	<b>Wednesday</b>	<b>5 January 2022*</b>
Tuesday	25 January 2022	<b>Friday</b>	<b>28 January 2022*</b>
Wednesday	26 January 2022	<b>Friday</b>	<b>4 February 2022</b>
Thursday	27 January 2022	<b>Monday</b>	<b>7 February 2022</b>
Friday	28 January 2022	<b>Tuesday</b>	<b>8 February 2022</b>
Monday	31 January 2022	<b>Tuesday</b>	<b>8 February 2022*</b>

\* remains unchanged as normal

For detailed arrangements on settlement, please refer to the circular(s) issued by HKSCC.

**Olivia Mak**  
**Senior Vice President**  
**Trading Department**  
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