

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Amendments to the Exchange Participant Admission Appeals Procedures (the “Procedures”) of The Stock Exchange of Hong Kong Limited (“SEHK”)

Enquiry: Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Holders of Stock Exchange Trading Rights and Exchange Participants are requested to note that the Securities and Futures Commission has approved amendments to the Procedures for the purpose of implementing changes to the membership composition of the Exchange Participant Admission Appeals Committee of SEHK.

The amendments, as set out in the Appendix, will come into effect on Friday, 13 May 2022.

The updated version of the [Procedures](#) can be downloaded from HKEX website.

Florence Leung
Head of Legal

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

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**EXCHANGE PARTICIPANT ADMISSION
APPEALS PROCEDURES**

4. Exchange Participant Admission Appeals Committee

Membership

- 4.1 The Exchange Participant Admission Appeals Committee shall have 3 members, and all 3 members must attend the hearing to form a quorum. The three members shall be:
- (a) the Chairman who shall be an independent non-executive director of HKEX to be appointed by the chairman of HKEX;
 - (b) a director of the Exchange who is not involved in the day to day operations of the Cash Market to be appointed by the Chairman; and
 - (c) an independent non-executive director of HKEX to be appointed by the Chairman.