HKEX 香 港 交 易 所

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香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

 Subject:
 INTRODUCTION OF ELECTRONIC COMMUNICATION PLATFORM 2.0 (ECP 2.0)

 Enquiry:
 Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

The Exchange is pleased to announce the plan to introduce a new communication platform for replacing the current Electronic Communication Platform (ECP) tentatively in **early Q2 this year**. ECP serves an electronic channel for file exchange between HKEX and market participants including the time critical daily files required for stamp duty payment from all Exchange Participants (EPs). The new platform, **Electronic Communication Platform 2.0 (ECP 2.0)**, will improve usability and pave the way for new functionalities including relevant file submission under Hong Kong Investor Identification Regime (HKIDR) to be introduced in the future.

Key new features of ECP 2.0:

- A new web user interface with all existing ECP functions;
- Secure File Transfer Protocol (SFTP) service via Securities and Derivatives Network (SDNet) for automation of the existing submission and download processes; and
- Unified access via HKEX's <u>Client Connect</u>. For existing Client Connect users, their Delegated Administrators (DA) will be automatically appointed as DAs for ECP 2.0. For non-Client Connect users, they would be required to register DA for both Client Connect and ECP 2.0. DAs will be responsible for maintaining their respective users of ECP 2.0. The user registration process will start in late Q1 tentatively.

Migration approach:

To lower the migration impact, the existing ECP functions and folders will be migrated to ECP 2.0 by phases. In Phase 1, the file download function will be migrated, followed by the file submission process in Phase 2. During this period, ECP 2.0 will run in parallel with the existing ECP.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here.</u>

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To allow EPs to familiarize themselves with ECP 2.0, practice sessions will be arranged before the rollout of ECP 2.0. More details of implementation will be announced in due course.

For enquiries regarding this project, please contact ECP Support team at ecpadmin@hkex.com.hk.

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