

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – UPDATE OF FREQUENTLY ASKED QUESTIONS (FAQ) & FILE INTERFACE SPECIFICATION**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

Reference is made to the Exchange circular (Ref. No.: [CT/142/21](#)) regarding the implementation of the HKIDR.

### Updated FAQ

The set of [FAQs](#) raised by Relevant Regulatory Intermediaries (RRIs) pertaining to topics related to BCAN submission and order tagging have been updated and posted on the HKIDR [web corner](#) for information. Please note that the FAQ will continue to be updated from time to time as required without further notice.

The SFC has also updated their [FAQs](#) about the HKIDR and Over-the-counter Securities Transactions Reporting Regime (OTCR) at the SFC webpage.

### Updated File Interface Specification

Please also note that an updated version of the [File Interface Specification](#) for HKIDR, with updated details on file submission and distribution channels, password-setting rules on file encryption (optional feature) and other wording changes, is now available on the HKIDR [web corner](#) for reference.

**Chan Wai Hung**  
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**Trading Department**  
**Operations Division**

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).