

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – END-TO-END (E2E) TEST AND UPDATE OF FILE INTERFACE SPECIFICATION**

Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

Reference is made to the Exchange circular (Ref. No.: [CT/142/21](#)) regarding the implementation of the HKIDR.

### E2E Test Session

To allow Relevant Regulated Intermediaries (RRIs)<sup>2</sup> to get ready for the implementation of the HKIDR, an E2E Test Session will start between **mid-May 2022 and June 2022** and the exact start date of the E2E Test Session will be further announced in mid-April 2022. It is **mandatory** for all Exchange Participants (EPs) and other RRIs to participate in the E2E Test Session.

The E2E Test Session will cover BCAN-CID Mapping File and Reporting Forms submission (applicable to both EPs and other RRIs), as well as the testing on BCAN tagging for order submission to Orion Trading Platform – Securities Market (OTP-C) (applicable to EPs only). The following two set of documents will be further published by the end of March 2022:

1. E2E test package (containing the testing guidelines and other relevant information); and
2. HKIDR File Transfer Connectivity Guide (a technical reference guide for HKIDR interface files exchange through the Electronic Communication Platform (ECP) covering both the ECP Web Interface and the ECP (SFTP) Interface).

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

<sup>2</sup> Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.

EPs are reminded that the E2E Test Session will also cover other new features under the Phase 2 implementation of Orion Central Gateway – Securities Market (OCG-C). For details, please refer to the relevant [Exchange circular](#) published separately.

**Updated File Interface Specification**

Please also note that an updated version of the [File Interface Specification](#) for HKIDR, with a small number of wording updates, is now available on the HKIDR [web corner](#) for reference.

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