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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: ADDITIONAL PRACTICE SESSION FOR ROLLING OUT THE SUBMISSION

FUNCTIONS OF THE ELECTRONIC COMMUNICATION PLATFORM 2.0 (ECP 2.0)

Enquiry: Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Exchange Participants (EPs) are requested to note that majority of EPs have successfully completed the mandatory Practice Session (PS1) for rolling out the submission functions of the Electronic Communication Platform 2.0 (ECP 2.0) on 14 May 2022 (Saturday) (Ref. No: CT/039/22). In order to ensure a smooth rollout, the Exchange will arrange an additional Practice Session (PS2) on 21 May 2022 (Saturday) for EPs to further verify their readiness for submission functions rollout.

The PS2 is mandatory for EPs who did not successfully complete the PS1 while it is optional for those who have declared their readiness in the PS1. EPs who will participate in the Practice Session are required to take the following actions accordingly.

#	Date & Time	Events	De	etails
1.	By 20 May 2022	ECP 2.0 Access	•	EPs are required to set up their access to ECP
	(Friday)	Setup in Client		2.0 in Client Connect prior to the Practice
		Connect		Session. Please refer to the Guidelines for
				Setting up the Access to ECP 2.0 via Client
				Connect for details.
			•	EPs' Delegated Administrators (DAs) have
				already been appointed as DAs for ECP 2.0
				automatically in Client Connect. If EPs requires to
				appoint new DAs, please complete and submit
				ECP User Types and Delegated Administrator
				Rights Application / Maintenance Form to the
				Exchange.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here.</u>



#	Date & Time	Events	Details
2.	21 May 2022 (Saturday)	Additional Practice Session (PS2)	 EPs who have not successfully completed the PS1 are required to participate in the PS2. EPs should ensure their access to ECP 2.0 via Client Connect has been properly set up. EPs should follow the activity rundown (Attachment 1) during the Practice Session. The relevant submission folders will be enabled during the Practice Session but the files submitted by EPs will not be processed in production. For the list of relevant submission folders, please refer to the appendix 7.3 of ECP 2.0 User Guide.
3.	By 24 May 2022 (Tuesday)	Declaration of Readiness for the Phase 2 rollout	 After the successful completion of additional Practice Session, EPs are required to complete and submit the declaration form (Attachment 2) via ECP 2.0 by 24 May 2022 (Tuesday). EPs who have already submitted the declaration form for the mandatory Practice Session are not required to submit again.

For enquiries regarding this project and the Practice Session, please contact the ECP Support team at ecpadmin@hkex.com.hk.

Herman Sin Senior Vice President Trading Department Operations Division