

**Activity Rundown for Electronic Communication Platform 2.0  
Practice Session on 21 May 2022**

Time	Activities
<b>By 20 May 2022 (Friday)</b>	
Before 6:00pm	<p><input type="checkbox"/> Set up the access to ECP 2.0 via <a href="#">Client Connect</a> properly (i.e. <b>EU_ECPSD and EU_ECPTO</b>). For details of Client Connect Access Setup, please refer to <a href="#">Guidelines for Setting up the Access to ECP 2.0 via Client Connect</a>. EPs who have not set up the access properly by Friday will be unable to participate in the Practice Session.</p> <p><b><i>Please note that you cannot use the existing ECP credentials to log into ECP 2.0.</i></b></p>
<b>21 May 2022 (Saturday)</b>	
9:00am – 12:00pm	<p><b><u>Login to ECP 2.0</u></b></p> <p><input type="checkbox"/> Access ECP 2.0 through <a href="https://ecp2.hkex.com.hk">https://ecp2.hkex.com.hk</a>, then select “HKEX Client Connect” button to login through Client Connect. You will be redirected to Client Connect.</p> <p><input type="checkbox"/> After the successful login, you will be redirected back to ECP 2.0. There are four main functions shown on the left navigation menu:</p> <ul style="list-style-type: none"> <li>- Download Corner</li> <li>- Submission</li> <li>- Activity Enquiry</li> <li>- SFTP Key Management</li> </ul> <p><input type="checkbox"/> Select “Submission” from the menu and choose submission folders from the list, such as Submission of Stamp Duty Daily Return (SD-1) and Submission of ETF Market Makers Underlying Stock Transactions Report Form (SD-6) in Submission Page. For the completed list of migrated folder, please refer to the appendix 7.3 of <a href="#">ECP 2.0 User Guide</a> or Note 1 below.</p> <p><input type="checkbox"/> Submit sample files to the corresponding folders. The file specifications are the same as the existing ECP. <b><i>If you choose to submit SD-1 files during the Practice Session, please use the files for stamp duty payable for Trading Date of 19 May 2022 and select “Input Date” as 19 May 2022.</i></b></p> <p><input type="checkbox"/> Query and verify the user activities performed on ECP 2.0 during the Practice Session in Activity Enquiry.</p> <p><input type="checkbox"/> (Optional) Submit sample files via SFTP service if the required IP address and SFTP key management have been set up properly.</p> <p><b><i>Please note that files submitted during the Practice Session will not be processed in the production. After the Practice Session, the submission folders will be hidden on the following trading day.</i></b></p>

Notes:

- (1) List of submission folders to be enabled during Practice Session and migrated in the first batch of Phase 2.

User Type	ECP 2.0 Functions	Folder Name
SEHK Participant	EU_ECPSD	<ul style="list-style-type: none"> <li>➤ SD-1: Stamp Duty Daily Return</li> <li>➤ SD-6: ETF Market Makers Underlying Stock Transactions Reporting Form</li> <li>➤ SD-6A: ETF Market Makers Underlying Stock Transactions Reporting Form (Supplemental)</li> </ul>
	EU_ECPTO	<ul style="list-style-type: none"> <li>➤ Submission of SFTP User Account Registration / Update for Northbound Investor ID Model</li> <li>➤ Stock Borrowing and Lending Monthly Report for Shanghai-Hong Kong Stock Connect</li> <li>➤ Short Selling Weekly Report for China Connect Securities</li> <li>➤ Stock Borrowing and Lending Monthly Report for Shenzhen-Hong Kong Stock Connect</li> <li>➤ Short Selling Weekly Report for China Connect Securities (Shenzhen-Hong Kong Stock Connect)</li> <li>➤ Shenzhen-Hong Kong Stock Connect Quarterly Return on Trading Composition</li> <li>➤ Shanghai-Hong Kong Stock Connect Quarterly Return on Trading Composition</li> <li>➤ MSM05 - Documents Request from HKEX</li> </ul>
HKFE Participant	EU_ECPDO	<ul style="list-style-type: none"> <li>➤ MSM05 - Documents Request from HKEX</li> </ul>
ETF Manager or Trustee	EU_ECPUT	<ul style="list-style-type: none"> <li>➤ UT1: ETF Files for HKSCC</li> </ul>

- (2) For more details of ECP 2.0 functions, please refer to [ECP 2.0 User Guide](#).
- (3) Contingency Arrangements upon issuance of Typhoon, Extreme Conditions and/or Rainstorm Warning Signal on the day of practice session.
- i. In case Typhoon Signal No. 8 or above, Extreme Conditions and/ or Black Rainstorm Warning is issued or continues to be in force anytime from 7:00am to before 9:00am, the practice session will be cancelled and will not be resumed for the rest of the day.
  - ii. In case Typhoon Signal No. 8 or above or Extreme Conditions is issued on/ or after 9:00am on the day of practice session, the practice session will be terminated 15 minutes thereafter.
  - iii. In case Black Rainstorm Warning is issued on/ or after 9:00am on the day of practice session, the practice session will continue until completion.
- (4) Should EPs have any query or identify any irregularities during the Practice Session, please contact the following Support Hotline:

<u>Support Hotline</u>	<u>Telephone Number</u>	<u>Email Address</u>
<b>ECP Support Team</b>	2840 3933	ecpadmin@hkex.com.hk