CSC Activity Rundown for the new SSE Trading Gateway on 9 July 2022

Time	Activities
8 July 2022 (Friday) – After Market Close	
After 5:00pm	❑ For all CCCG/BSS sessions, ensure change, if any, to network configuration is properly completed ❑ Ensure all CCCG/BSS components (including network routers) are powered-on after trading hours ❑ Ensure the production database of in-house / back-office systems are properly backed-up before the practice session. ***Notes:*** • ***For BSS, please do not input any next day requests after trading hours on Friday (i.e. requests intended for submission to host on the following trading day, since next day requests will be submitted to OTP-CSC host during practice session and will not be available on the following trading day).*** • ***After practice session, CCEPs are also reminded to clean up the next day requests (if any) to ensure that these testing orders will not be carried forward to the production on the following trading day.*** • ***No enrollment is required for OMD-CC.***
9 July 2022 (Saturday)	
Ready for Market Open	
Before 9:00am	**Logon to OTP-CSC Host** For **CCCG/BSS** ❑ Connect to CCCG for all CCCG sessions (including Trading and Drop Copy sessions). ❑ Logon using the assigned Comp ID(s) and the password "Ab123456" for all CCCG sessions (including Trading and Drop Copy sessions).
Opening Call Auction	
9:15am – 9:25am	❑ The opening call auction session will run from 9:15am – 9:25am. ❑ Simulate real-life trading activities (in terms of order input, pattern and volume). ❑ Tag BCAN to each of the orders, invalid/unregistered BCAN **will not be rejected** during the practice session. ❑ Take note of saleable quantities of eligible securities on hand with reference to **Attachment 2.** ***Note:*** • ***OTP-CSC will start to accept China Connect orders for SSE market from 9:10am. However, these orders will only be processed according to the timetable of SSE.***
Morning Continuous Auction Session	
9:30am – 11:30am	❑ The continuous auction session of SSE will run from 9:30am – 11:30am. ❑ Simulate real-life trading activities (in terms of order input, pattern and volume). ❑ Tag BCAN to each of the orders, invalid/unregistered BCAN **will not be rejected** during the practice session. ❑ Take note the saleable quantities of eligible securities on hand with reference to **Attachment 2.** ***Notes:*** • ***CCEPs who do not follow the testing guidelines or conduct frivolous activities during the practice session may be suspended from further***

Time	Activities
	participation in the practice session without prior warning. • *OTP-CSC will accept China Connect orders from 9:25am – 11:31am. However these orders will only be processed according to the timetable of SSE.*
Exchange Intervention	**11:31am**
Close	**11:35am**
Afternoon Continuous Auction Session	
1:00pm – 3:00pm (afternoon session start time subject to site failure test)	❑ **Trading interruption may happen during 12pm – 2pm due to site failure test. CCEPs have to re-connect their systems and trading will be resumed no later than 2pm.** ❑ **After activation of trading system / trading restart at backup site, logon to OTP-CSC again.** ❑ **Make order and trade enquiries, if applicable** ❑ **Check and reconcile cancelled, matched and outstanding orders, if applicable** ❑ Continuous Auction Session will run from 1:00pm – 2:57pm (1:00pm – 3:00pm for SSE ETFs). ❑ Closing Call Auction Session will run from 2:57pm – 3:00pm (not applicable for SSE ETFs). ❑ Simulate real-life trading activities (in terms of order input, pattern and volume). ❑ Tag BCAN to each of the orders, invalid/unregistered BCAN **will not be rejected** during the practice session. ❑ Take note the saleable quantities of eligible stock on hand with reference to **Attachment 2.** ***Note:*** • *OTP-CSC will start to accept China Connect orders from 12:55pm to 3:01pm. However, these orders will only be processed according to the timetable of SSE.* • *OMD-CC will also failover to the DR site during the site failure test between 12pm and 2pm. Upon completion of the OMD-CC failover process to the DR site (i.e. DR Signal = "2"), please connect to the Retransmission Servers in the DR site, if needed (Section 2.1.3 of OMD-CC Connectivity Guide refers please)*
Exchange Intervention	**3:01pm**
Day Close	**3:05pm**
3:05pm – 3:30pm	For CCCG and China Connect Drop-Copy Sessions : ❑ Logout and terminate CCCG and China Connect Drop-Copy sessions. ❑ Perform end-of-trading procedures, if any, to ensure database integrity. ❑ Clean up the transaction data (e.g. orders and trades) entered during this test from BSS (if applicable).

Notes:

1) Post test verification session will not be provided.

2) Day-end trade file will not be provided.

3) The stock database as at the market open on 11 July 2022 will be used for the test.

4) CCEPs already rolled out pre-trade checking function for institutional investor ID (IIID), please use IIID that opened at or before 2 June 2022.

5) All OMD-CC messages disseminated during the practice session should be treated as non-production data and have to be cleaned up afterwards.

6) Real-time price data of Northbound test securities will also be available from the Market Data Portal (MDP) after market open till market close during the practice session. URL of the portal is: http://203.78.5.208/MDP . **MDP services will not be available after the site failure test until the completion of the practice session.**

Contingency Arrangements on Typhoon, Extreme Conditions and Rainstorm Warning on the Day of Practice Session

- In case Typhoon Signal No. 8 or above or Extreme Conditions or Black Rainstorm Warning is issued/ announced or continues to be in force anytime from 7:00am to before 9:00am on the day of practice session, the practice session will be cancelled and will not be resumed for the rest of the day.
- In case Typhoon Signal No. 8 or above or Extreme Conditions is issued on or after 9:00am on the day of practice session, the practice session will be terminated 15 minutes thereafter.
- In case Black Rainstorm Warning is issued on or after 9:00am on the day of practice session, the practice session will continue until completion.
- In any case, all participating CCEPs should complete the appropriate day-end works including file / database backup, system restoration / fallback and etc. before, during and / or after the practice session.