

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

- 事項： 有關中國證券監督管理委員會(中國證監會)、上海證券交易所(上交所)、深圳證券交易所(深交所)就滬深股通規則修訂的提示
- Subject: **REMINDER ON THE AMENDMENT OF RULES IN RELATION TO NORTHBOUND TRADING OF STOCK CONNECT BY CHINA SECURITIES REGULATORY COMMISSION (CSRC), SHANGHAI STOCK EXCHANGE (SSE) AND SHENZHEN STOCK EXCHANGE (SZSE)**
- 查詢： 參與者一般查詢熱線<sup>1</sup> (電話：2840 3626 電子郵件：[trd@hkex.com.hk](mailto:trd@hkex.com.hk))
- Enquiry: **Participant General Enquiry Hotline<sup>2</sup> (Tel: 2840 3626 E-mail: [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

參照交易所於 2022 年 6 月 24 日發佈的有關中國證監會、上交所、深交所就限制內地投資者參與滬深股通交易的規則修訂的公告(編號：[CT/088/22](#))。中華通交易所參與者(CCEP)及透過中華通交易所參與者買賣之交易所參與者(TTEP)須注意，有關規則修訂將於 2022 年 7 月 25 日(星期一)生效。

領航星交易平台 — 中華證券通(OTP-CSC)系統及處理券商客戶編碼與客戶識別信息配對資料的系統就此規則修訂無需進行改造，惟 CCEP 和 TTEP 仍須於規則修訂生效前驗證其系統及營運流程均準備就緒。

Reference is made to the Exchange Circular (Ref No: [CT/088/22](#)) dated on 24 June 2022 on the rule amendments of CSRC, SSE and SZSE in relation to restricting Mainland investors from Northbound Trading under Stock Connect. China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) are reminded that the rule amendments will take effect from **Monday, 25 July 2022**.

While there is no system change to the Orion Trading Platform – China Stock Connect (OTP-CSC) and the system processing BCAN-CID Mapping File regarding the rule amendments, CCEPs and TTEPs are advised to verify their system and operational readiness before the rule amendments take effect.

<sup>1</sup> 所有參與者一般查詢熱線之通話可能會被錄音。請[按此](#)參閱香港交易所的私隱政策聲明。

<sup>2</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

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**Jack Ko**  
**Vice President**  
**Trading Department**  
**Operations Division**