

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **ROLLOUT OF ADDITIONAL SUBMISSION AND DOWNLOAD FUNCTIONS OF ELECTRONIC COMMUNICATION PLATFORM 2.0 (ECP 2.0) ON 5 DECEMBER 2022**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Following the successful completion of the Practice session (Ref No: [CT/140/22](#)), the Exchange is pleased to announce that **additional submission and download functions of Electronic Communication Platform 2.0 (ECP 2.0)** will be rolled out on **5 December 2022 (Monday)**.

Exchange Participants (EPs) are required to submit the relevant files via [ECP 2.0](#) starting from **5 December 2022 (Monday)**. Please refer to the **Guidelines for the Migration of Additional Submission and Download Folders in ECP 2.0** ([Attachment 1](#)) for the details of the cutover time, the migrated folder list and the late submission handling of Options Market Maker Jobbing Transaction Composite Reporting Form (SD-4) and Large Open Position Reports (LOPR) as a reminder.

Parallel Run for LOPR Submission

To lower the migration impact, parallel run on the existing ECP, DCASS Report Access Platform (RAP) and ECP 2.0 will be allowed for LOPR submission from 5 December (Monday) to 9 December 2022 (Friday). LOPR submitters can submit files through any one of the platforms during the transition period. From **12 December (Monday)** onwards, LOPR submission will only be available in ECP 2.0. This special arrangement is applicable to LOPR submission only and **parallel run will not be provided for other submission folders**. If LOPR submitters have further enquiries, please send email to lophkfe@hkex.com.hk.

EPs are reminded to ensure their relevant access to ECP 2.0 via Client Connect has been properly set up. For enquiries regarding this project, please contact the ECP Support team at ecpadmin@hkex.com.hk.

Herman Sin
Senior Vice President
Trading Department
Operations Division

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).