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通告 CIRCULAR

Subject: Rehearsal on the Polling Mechanism for Derivatives Market Contingencies on

12 July 2022

Enquiry: HKATS Hotline: 2211-63601 / Email: HKATSSupport@hkex.com.hk

Upon contingency situation(s) happens, it is important that the Exchange can receive direct feedbacks from Exchange Participants ("EPs") to facilitate assessment on overall impacts and consider the appropriate actions. One of the communication channel is electronic polling.

In order to assist EPs to get familiarized with the polling mechanism and able to provide timely response upon contingencies, a **mandatory** rehearsal on the polling mechanism for derivatives market contingencies (the "Rehearsal") will be conducted on Tuesday, 12 July 2022 between 4:30pm and 5:00pm tentatively. Details of the Rehearsal are as follows.

Before the Rehearsal (from now to 8 July 2022)

Each EP should have already designated 2 Responsible Officers as contact persons to respond to the polling questions during contingency situations. If there is any change in the designated Responsible Officers, please return the completed "Designated Emergency Contact Persons for Derivatives Market Contingencies" form (<u>Attachment 1</u>) to Trading Department of HKEX through Client Connect (SF DT001) or email to HKATSSupport@hkex.com.hk on or before 8 July 2022.

During the Rehearsal (on 12 July 2022)

An email with the hyperlink to a simulated contingency questionnaire will be sent to the designated contact persons who are required to complete the online questionnaire within the specified time limit. For details, please refer to the guideline (<u>Attachment 2</u>).

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/eng/global/privacy_policy.htm



Thank you in advance for your participation.

Sanly Ho
Co-Head
Trading Department

Operations Division

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