

<Attachment 3: OTP-C Activity Rundown for the Rehearsal>

Time	Standard Tasks
26 August 2022 (Friday) – After Market Close	
After 5:00pm	<ul style="list-style-type: none"> <input type="checkbox"/> Ensure all OCG-C/BSS/NSTD components (including network routers and workstations) are powered-on after trading hours. <input type="checkbox"/> Ensure the production database of in-house / back-office systems are properly backed-up before the Rehearsal. <input type="checkbox"/> Ensure the BSS are not connected to the OCG-C if not participating in the Rehearsal in order to avoid receiving the testing data. <p>Notes:</p> <ul style="list-style-type: none"> ● <i>For BSS user, please do not input any next day trading requests after trading hours on Friday, 26 August 2022, (i.e. requests intended for submission to OTP-C Host on the following Monday, 29 August 2022 since next day requests will be submitted to OTP-C Host during the Rehearsal and will not be available on Monday, 29 August 2022). In addition, please save / cancel all next day trading requests, if applicable.</i> ● <i>After the Rehearsal, EPs are also reminded to clean up the next day trading requests (if any) to ensure that these testing orders will not be carried forward to the production on the following Monday, 29 August 2022.</i>
27 August 2022 (Saturday)	
Before 11:30am	<p><u>Logon to OTP-C Host</u></p> <p>For OCG-C/NSTD:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Perform Administrator Logon to ET Trade Speed Station. <input type="checkbox"/> Perform logon for OCG-C sessions (including Trading and Drop-Copy sessions) by using production password. <input type="checkbox"/> Perform ET Trade Speed Station start-of-trading procedure. <input type="checkbox"/> Perform Trader logon. <p>Note:</p> <p>If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to cs@etnet.com.hk.</p> <p>For OCG-C/BSS:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Perform logon for OCG-C sessions (including Trading and Drop-Copy sessions) by using production password. <p>For all EPs</p> <ul style="list-style-type: none"> <input type="checkbox"/> Please DO NOT submit any production files to ECP & ECP 2.0 during the Rehearsal date.
Pre-Opening Session	
11:30am – 12:00pm	<ul style="list-style-type: none"> <input type="checkbox"/> The Pre-Opening Session consists of <ul style="list-style-type: none"> - Order Input Period (11:30am – 11:45am) - No-cancellation Period (11:45am – 11:50am)

Time	Standard Tasks
	<ul style="list-style-type: none"> - Random Matching Period (11:50am – 11:52am [Random Matching Start Time]) - Order Matching Period (11:50am [Random Matching Start Time] – Completion of Order Matching [Variable End Time]) - Blocking Period (Upon Completion of Order Matching [Variable End Time] – 12:00pm) <p><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern and volume) during the Pre-opening Session.</p> <p><input type="checkbox"/> Input manual trades during the order input period and pre-order matching period.</p> <p>(N.B.: Please refer to Attachment 2 for notes on Order Input)</p>
Continuous Trading Session (Morning Session)	
12:00pm – 12:30pm	<p><input type="checkbox"/> The Continuous Trading Session consists of</p> <ul style="list-style-type: none"> - Without VCM monitoring (12:00pm – 12:15pm) - With VCM monitoring (12:15pm – 12:30pm) <p><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern and volume) during the Continuous Trading Session.</p> <p>(N.B.: Please refer to Attachment 2 for notes on Order Input)</p>
System Failure / Trading Interruption	
Anytime during 12:15pm – 12:30pm	<p><input type="checkbox"/> EPs should pay attention to HKEX’s announcement of the contingency arrangement via Exchange News. The Exchange News will be available through Datafeed of HKEX Orion Market Data Platform – Securities Market (OMD-C).</p> <p><input type="checkbox"/> If the Exchange News cannot be disseminated through OMD-C, EPs should pay attention to HKEX’s announcement via email with email address provided on Attachment 1 – Notification Form for Participation in 2022 Market Rehearsal.</p>
Activation of Trading System / Trading Restart at Backup Site	
At 1:30pm	<ul style="list-style-type: none"> <input type="checkbox"/> Logon to OTP-C Host again. <input type="checkbox"/> Make order and trade enquiries and print out Trade Journal locally, if applicable. <input type="checkbox"/> Check and reconcile cancelled, matched and outstanding orders. <input type="checkbox"/> Confirm with counter-parties on all manual trades input within 5 minutes before system crash, if applicable. <input type="checkbox"/> Report any discrepancy to the Exchange. <p>Note: If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to cs@etnet.com.hk.</p>
Order Cancel Session	
1:45pm – 2:00pm	<p><input type="checkbox"/> Cancel outstanding orders individually as much as possible for rehearsal purpose.</p>

Time	Standard Tasks
Continuous Trading Session (Afternoon Session)	
2:00pm – 3:00pm	<input type="checkbox"/> The Continuous Trading Session consists of <ul style="list-style-type: none"> - Without VCM monitoring (2:00pm – 2:15pm) - With VCM monitoring (2:15pm – 2:40pm) - Without VCM monitoring (2:40pm – 3:00pm) <input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern volume) during the Continuous Trading Session. <p>Notes:</p> <ul style="list-style-type: none"> ● Please refer to Attachment 2 for notes on Order Input ● If VCM Cooling-off period is not complete before System Failure / Trading Interruption, it will not continue in this session.
Closing Auction Session	
3:00pm – 3:10pm	<input type="checkbox"/> The Closing Auction Session consists of <ul style="list-style-type: none"> - Reference Price Fixing Period (3:00pm – 3:01pm) - Order Input Period (3:01pm – 3:06pm) - No Cancellation Period (3:06pm – 3:08pm) - Random Closing Period (3:08pm – 3:10pm) - Order Matching Period (3:08pm – Completion of Order Matching [Variable End Time]) <input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern volume) during the Closing Auction Session.
Day Close	
Upon completion of matching	For OCG-C/NSTD : <ul style="list-style-type: none"> <input type="checkbox"/> Perform trader logout. <input type="checkbox"/> Perform ET Trade Speed Station end-of-trading procedure. <input type="checkbox"/> Logout and terminate OCG-C session. <input type="checkbox"/> Logout all ET Trade Speed Stations. For OCG-C/BSS : <ul style="list-style-type: none"> <input type="checkbox"/> Logout and terminate OCG-C session. <input type="checkbox"/> Perform end-of-trading procedures, if any, to ensure database integrity. <input type="checkbox"/> Clean up the transaction data (e.g. orders and trades) entered during the rehearsal from BSS, if applicable. <input type="checkbox"/> Restore the data and proper BSS production configuration for following Monday, 29 August 2022, if necessary. <p>Note:</p> <p>Keep all OCG-C/BSS/NSTD components powered-on throughout the weekend after the Rehearsal.</p> <input type="checkbox"/> Complete and submit the “Rehearsal Evaluation Reply” Form

Time	Standard Tasks
	<u>(Attachment 6) through Electronic Communication Platform 2.0 (ECP2.0) during 29 to 31 August 2022.</u>
Download Day-end File	
3:45pm – 4:15pm	<input type="checkbox"/> Download the trade file and the closing price file in Central Trade Feed (CTF) format by i) login to Electronic Communication Platform 2.0 (ECP2.0) with business function EU_ECPTO or ii) by using production user ID with “TO” user group (i.e. user ID of MXXXXXTOXXX) and password “123456” through Electronic Communication Platform (ECP) and check the accuracy of trade details and closing prices.
29 August 2022 (Monday)	
Before 8:30am	<p><u>Logon to OTP-C Host</u></p> <p>For OCG-C/NSTD:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Perform Administrator Logon to ET Trade Speed Station. <input type="checkbox"/> Perform logon for OCG-C sessions (including Trading and Drop-Copy sessions) by using production password. <input type="checkbox"/> Perform ET Trade Speed Station start-of-trading procedure. <input type="checkbox"/> Perform Trader logon. <p><u>Note:</u></p> <p>If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to cs@etnet.com.hk.</p> <p>For OCG-C/BSS:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Perform logon for OCG-C sessions (including Trading and Drop-Copy sessions) by using production password. <input type="checkbox"/> Prepare for trading under OTP-C production environment from 9:00am.

Notes:

- (1) All production OCG-C/BSS and OCG-C/NSTD devices / facilities (including Trading and Drop-Copy sessions) will be activated before 11:30am for trading during the Rehearsal.
- (2) The market timetable of the Rehearsal, subject to changes where the circumstances necessitate, is set tentatively as follows:

Pre-opening Session	11:30am – 12:00pm	
Continuous Trading Session	12:00pm – 12:30pm	
System Failure / Trading Interruption	Anytime during 12:15pm – 12:30pm	(During Continuous Trading Session)
System Recovery / Trading Restart at backup site	1:30pm	(Backup System)
Order Cancel Session	1:45pm – 2:00pm	
Continuous Trading Session	2:00pm – 3:00pm	
Closing Auction Session	3:00pm – 3:10pm	
Day Close	Upon completion of	

- (3) EPs should constantly review Exchange News to find out the latest announcements of the Exchange.
- (4) EPs should follow the procedures and the instructions announced by the Exchange during the Rehearsal.
- (5) Should EPs have any query or identify any irregularities during the Rehearsal, please contact the following Participant Support Hotlines:
 - For general enquiry : 2840-3626
 - For technical enquiry : 2840-3351