

<Attachment 4: OTP-CSC Activity Rundown for the Rehearsal>

Time	Standard Tasks
<b>26 August 2022 (Friday) – After Market Close</b>	
After 5:00pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> Ensure all CCCG/BSS components (including network routers) are powered-on after trading hours.</li> <li><input type="checkbox"/> Ensure the production database of in-house / back-office systems are properly backed-up before the Rehearsal.</li> <li><input type="checkbox"/> Ensure the BSS are not connected to the CCCG if not participating in the Rehearsal in order to avoid receiving the testing data.</li> </ul> <p><b>Notes:</b></p> <ul style="list-style-type: none"> <li>● <i>Please do not input any next day trading requests after trading hours on Friday, 26 August 2022, (i.e. requests intended for submission to OTP-CSC on the following Monday, 29 August 2022, since next day requests will be submitted to OTP-CSC during the Rehearsal and will not be available on Monday, 29 August 2022). In addition, please save / cancel all next day trading requests, if applicable.</i></li> <li>● <i>After the Rehearsal, EPs are also reminded to clean up the next day trading requests (if any) to ensure that these testing orders will not be carried forward to the production on the following Monday, 29 August 2022.</i></li> </ul>
<b>27 August 2022 (Saturday)</b>	
Before 11:00am	<p><b><u>Logon to OTP-CSC</u></b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform logon for CCCG sessions (including Trading and Drop-Copy sessions) by using <b>production password</b>.</li> </ul> <p><b><u>Download Stock Code Mapping File:</u></b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Download the Stock Code Mapping File by login to Electronic Communication Platform 2.0 (ECP2.0) with business function EU_ECPTO or ii) using production user ID with “TO” user group (i.e. user ID of MXXXXXTXXXX) and production password through Electronic Communication Platform (ECP).</li> <li><input type="checkbox"/> <b>Please DO NOT submit any production files to ECP and ECP 2.0 during the Rehearsal Date</b></li> </ul>
<b>Continuous Auction Session</b>	
11:00am – 11:31am	<ul style="list-style-type: none"> <li><input type="checkbox"/> <b>NO Opening Call Auction Session</b></li> <li><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern and volume).</li> <li><input type="checkbox"/> Submission of buy and sell orders are required to tag with BCANs.</li> <li><input type="checkbox"/> OTP-CSC will start to accept China Connect orders from 11:00am and these orders will be processed starting from 11:00am according to the timetable of Shanghai Stock Exchange (“SSE”) / Shenzhen Stock Exchange (“SZSE”).</li> <li><input type="checkbox"/> Continuous Auction Session of SSE/SZSE will run till 11:30am.</li> <li><input type="checkbox"/> Orders input during 11:30am – 11:31am (i.e. after market close of SSE/SZSE) will not be processed until 1:00pm (i.e. during activation of backup trading system) according to the timetable of SSE/SZSE.</li> </ul> <p><b>(N.B.: Please refer to Attachment 2 for notes on Order Input)</b></p>

Time	Standard Tasks
<b>Exchange Intervention / Mid-day Close from 11:31am</b>	
<b>System Failure / Trading Interruption</b>	
Anytime during 12:15pm – 12:30pm	<input type="checkbox"/> EPs should pay attention to HKEX’s announcement of the contingency arrangement via Exchange News. The Exchange News will be available through Datafeed of HKEX Orion Market Data Platform – Securities Market (OMD-C).  <input type="checkbox"/> If the Exchange News cannot be disseminated through OMD-C, EPs should pay attention to HKEX’s announcement via email with email address provided on <b>Attachment 1 – Notification Form for Participation in 2022 Market Rehearsal</b> .
<b>Activation of Trading System / Trading Restart at Backup Site</b>	
At 1:30pm (Refer to Notes)	<input type="checkbox"/> Logon to OTP-CSC again. <input type="checkbox"/> Make order and trade enquiries, if applicable. <input type="checkbox"/> Check and reconcile cancelled, matched and outstanding orders. <input type="checkbox"/> Report any discrepancy to the Exchange.
<b>Continuous Auction Session</b>	
2:00pm – 3:01pm (Refer to Notes)	<input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern volume). <input type="checkbox"/> Submission of buy and sell orders are required to tag with BCANs. <input type="checkbox"/> OTP-CSC will start to accept China Connect orders from 2:00pm and these orders will be processed starting from 2:00pm according to the timetable of SSE/SZSE. <input type="checkbox"/> No Closing Call Auction session for SSE-listed ETFs <input type="checkbox"/> Continuous Auction Session of SSE/SZSE will run till 2:57pm. <input type="checkbox"/> Closing Call Auction of SSE/SZSE will run from 2:57pm – 3:00pm. <b>(N.B.: Please refer to Attachment 2 for notes on Order Input)</b>
<b>Exchange Intervention from 3:01pm - 3:05pm</b>	
<b>Day Close at 3:05pm</b>	
At 3:05pm	<input type="checkbox"/> Logout and terminate CCCG session. <input type="checkbox"/> Perform end-of-trading procedures, if any, to ensure database integrity. <input type="checkbox"/> Clean up the transaction data (e.g. orders and trades) entered during the rehearsal from BSS (if applicable). <input type="checkbox"/> Restore the data and proper BSS production configuration for following Monday, 29 August 2022, if necessary. <input type="checkbox"/> Complete and submit the <b>“Rehearsal Evaluation Reply” Form (Attachment 6)</b> through <u>Electronic Communication Platform 2.0 (ECP2.0)</u> during 29 to 31 August 2022.
<b>Download Day-end File</b>	
3:45pm – 4:15pm	<input type="checkbox"/> Download the <b>trade file from 3:45pm</b> by i) login to ECP2.0 with business function EU_ECPTO or ii) using production user ID with “TO” user group (i.e. user ID of MXXXXXT0XXX) and password “123456” through ECP and check the accuracy of trade details.
<b>29 August 2022 (Monday)</b>	
Before 8:30am	<b><u>Logon to OTP-CSC</u></b>

Time	Standard Tasks
	<input type="checkbox"/> Perform logon for CCCG sessions (including Trading and Drop-Copy sessions) by using production password. <input type="checkbox"/> Prepare for trading under OTP-CSC production environment from 9:10am.

Notes:

- (1) All CCCG/BSS devices / facilities will be activated before 11:00am for trading during the Rehearsal.
- (2) The market timetable of the Rehearsal, subject to changes where the circumstances necessitate, is set tentatively as follows:

Continuous Auction Session	11:00am – 11:31am	
System Failure / Trading Interruption	Anytime during 12:15pm – 12:30pm	
System Recovery / Trading Restart at backup site	1:30pm	(Backup System)
Continuous Auction Session	2:00pm – 3:01pm	
Day Close	3:05pm	

- (3) EPs should constantly review email and Exchange News to find out the latest announcements of the Exchange.
- (4) EPs should follow the procedures and the instructions announced by the Exchange during the Rehearsal.
- (5) Should EPs have any query or identify any irregularities during the Rehearsal, please contact the following Participant Support Hotlines:
  - For general enquiry : 2840-3626
  - For technical enquiry : 2840-3351