

Summary of Northbound BCAN Files Migration

A. Impacted BCAN-related Files

- BCAN-CID Mapping File
- BCAN-CID Response File
- Authorised TTEP Firm List
- Authorised TTEP Firm List Response File
- BCAN-CID Validation Result File
- BCAN Full Image File

B. Summary of Enhancement Items

Items	<u>Existing</u> Transfer via SFTP Facility	<u>New</u> Transfer via ECP 2.0
Transfer Channel	<ul style="list-style-type: none"> • SFTP specific for BCAN-related files 	<ul style="list-style-type: none"> • SFTP for all submission and download functions in ECP 2.0 • Web user interface
Folder Structure*	<ul style="list-style-type: none"> • /OUTBOX • /INBOX 	<ul style="list-style-type: none"> • /submission/BCANMAPP/ • /download/BCANMAPP/
Filename*	For example: <ul style="list-style-type: none"> • BCANMAPP_YYYYMMDD.txt, which is zipped into BCANMAPP_YYYYMMDD.zip 	For example: <ul style="list-style-type: none"> • BCANMAPP_<Firm ID>_YYYYMMDD.txt, which is zipped into BCANMAPP_<Firm ID>_YYYYMMDD.zip <p><i>where Firm ID is Participant ID of CCEP/ TTEP in the format of 5-digit number with leading zero</i></p>
File Content	No Change	
Verification of Submitted Files	<ul style="list-style-type: none"> • Return of the same submitted files (i.e. Acknowledgement and Rejection File) 	<ul style="list-style-type: none"> • Checksum of the submitted file is provided in Acknowledgement File • Rejection codes and messages are provided in Rejection File
Key Management	<ul style="list-style-type: none"> • Registration via an application form 	<ul style="list-style-type: none"> • Self-service on ECP 2.0 web user interface
Optional Password Encryption	<ul style="list-style-type: none"> • Nil 	<ul style="list-style-type: none"> • Available for BCAN-CID Mapping File and Authorised TTEP Firm List

*The above table illustrates a few examples of interface changes. For the detailed changes, please refer to the updated **China Stock Connect Northbound Trading Investor ID Model System File Interface Specification (Version 1.5)** at [Northbound Investor ID Model project corner](#).

More details of ECP 2.0 setup will also be announced in due course.