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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – SUBMISSION OF BCAN-CID MAPPING DATA**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

With reference to the Exchange circular (Ref. No: [CT/157/22](#)), Relevant Regulated Intermediaries² (RRIs) are reminded to submit their BCAN-CID Mapping File to the Exchange via the Electronic Communication Platform (ECP) ahead of the launch of the Hong Kong Investor Identification Regime (HKIDR) on 20 March 2023 (Monday).

RRIs are strongly encouraged to submit the BCAN-CID Mapping File as early as possible to ensure that their clients' BCANs and Client Identification Data (CID) are registered in preparation for subsequent trading by such clients upon the launch of the HKIDR.

RRIs are also reminded to ensure that all necessary authorizations and written or other express consents are obtained from each existing and new individual client regarding the collection, storage, processing, use, disclosure and transfer of personal data in relation to such client's BCANs and CID in full compliance with all applicable laws including the Personal Data (Privacy) Ordinance (PDPO) before submitting any BCAN, CID and/or BCAN-CID Mapping File to the Exchange.

Separately, further details about the OTP-C and OCG-C post release test on 18 March 2023 (Saturday) before the launch of the HKIDR will be announced in due course.

Chan Wai Hung
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Trading Department
Operations Division

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.