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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **COMMENCEMENT OF END-TO-END (E2E) TEST FOR MIGRATION OF NORTHBOUND (NB) BROKER-TO-CLIENT ASSIGNED NUMBER (BCAN) FILE SUBMISSION AND DOWNLOAD FUNCTIONS TO ELECTRONIC COMMUNICATION PLATFORM (ECP)**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

With reference to the Exchange Circular (Ref. No.: [CT/012/23](#)), an End-to-End (E2E) test for migration of Northbound (NB) Broker-to-Client Assigned Number (BCAN) file submission and download functions to Electronic Communication Platform (ECP) (the Migration) will be arranged from **4 April 2023 to 28 April 2023**. **It is mandatory for all China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) to participate in the E2E test.**

During the E2E test, CCEPs and TTEPs must complete BCAN-Client Identification Data (CID) mapping file submission via the ECP, and **ensure at least one new BCAN is registered successfully**. CCEPs can subsequently use the newly registered BCAN to submit orders in the China Connect Central Gateway (CCCG) E2E environment for their further verification if required. The following two set of documents have been published to guide CCEPs and TTEPs to complete the E2E test:

1. E2E Test Package (containing the testing guidelines and testing confirmation reply form) is available for download from [ECP](#) and the download procedure is available in the [Attachment](#); and
2. [Northbound Investor ID Model File Transfer Connectivity Guide](#) (a technical reference guide for NB BCAN interface files exchange through the ECP) is available for download from the [Northbound Investor ID Model project corner](#).

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

After completion of the E2E test, CCEPs and TTEPs are required to submit positive testing confirmation reply to HKEX via ECP **on or before 28 April 2023 (Friday)**. Following the successful completion of E2E test, mandatory practice sessions for NB BCAN-CID mapping file submission will be arranged for CCEPs and TTEPs to verify their readiness before production migration. Details of the practice sessions will be announced in due course.

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