

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: ADDITIONAL PRACTICE SESSION FOR MIGRATION OF NORTHBOUND (NB) BROKER-TO-CLIENT ASSIGNED NUMBER (BCAN) FILE SUBMISSION AND DOWNLOAD FUNCTIONS TO ELECTRONIC COMMUNICATION PLATFORM (ECP)

Enquiry: Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

With reference to the Exchange Circular (Ref. No.: [CT/056/23](#)), a majority of China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) have successfully completed the mandatory Practice Session (PS1) for migration of NB BCAN file submission and download functions to ECP (the Migration) on 13 May 2023 (Saturday). In order to ensure a smooth production migration, an **additional Practice Session (PS2) will be arranged on 20 May 2023 (Saturday)** for CCEPs and TTEPs to further verify their system readiness.

The PS2 is mandatory for CCEPs and TTEPs who did not successfully complete the PS1, while it is optional for those who have declared their readiness in the PS1. CCEPs and TTEPs who will participate in the PS2 are required to take the following actions accordingly.

#	Date & Time	Events	Details
1	By 19 May 2023 (Friday)	Set up user access in HKEX Access Management Portal ²	<ul style="list-style-type: none">Registered Delegated Administrators (DA) of CCEPs and TTEPs are required to create/ update business user accounts with the required business functions prior to the PS2, i.e. EU_ECPNBCAN for web interface, or both EU_ECPSFTP and EU_ECPNBCAN for Secure File Transfer Protocol (SFTP) interface. For details of function assignment, please refer to Guidelines for setting up the Access to ECP via

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Previously known as HKEX Client Connect.

#	Date & Time	Events	Details
			<p>Access Management Portal and ECP User Guide.</p> <ul style="list-style-type: none"> If CCEPs/TTEPs use SFTP service for NB BCAN file submission, please ensure the required business functions, public key registration and IP addresses are properly set up in the business user accounts. For more details of SFTP setup, please refer to ECP User Guide.
2	20 May 2023 (Saturday)	Additional Practice Session (PS2)	<ul style="list-style-type: none"> CCEPs and TTEPs who did not successfully completed the PS1 are required to participate in the PS2. CCEPs and TTEPs should ensure their business user accounts have been properly set up with the required business functions. CCEPs and TTEPs should follow the activity rundown (Attachment 1) during the PS2. The relevant NB BCAN folders will be enabled during the PS2. All files submitted during the PS2 will be removed after the PS2 and will not be effective in production.
3	By 23 May 2023 (Tuesday)	Declaration of Readiness for the Migration	<ul style="list-style-type: none"> After the successful completion of the PS2, CCEPs and TTEPs are required to complete and submit the declaration form (Attachment 2) via ECP by 23 May 2023 (Tuesday). CCEPs and TTEPs who have already submitted the declaration form for the PS1 are not required to submit again.

Subject to the successful completion of the PS2, production migration will be scheduled in June tentatively. Detailed arrangement will be announced in due course.

Ryan Or
Vice President
Trading Department
Operations Division