

Activity Rundown for NB BCAN Submission to ECP Additional Practice Session on 20 May 2023

Time	Activities
By 19 May 2023 (Friday)	
Before 6:00pm	<ul style="list-style-type: none"> <input type="checkbox"/> Ensure the production database of in-house/ back-office systems are properly backed-up, if needed. <input type="checkbox"/> To submit NB BCAN-CID mapping file through ECP web interface, please ensure the business function EU_ECPNBCAN has been granted to the business users in Access Management Portal. <input type="checkbox"/> To submit NB BCAN-CID mapping file through ECP SFTP interface, please ensure the business functions, both EU_ECPNBCAN and EU_ECPSFTP, have been granted to the business users in Access Management Portal. Please also ensure that public key and IP addresses are properly set up in business user's account in ECP. For more details of SFTP setup, please refer to ECP User Guide. <input type="checkbox"/> For details of access setup, please refer to Guidelines for setting up the Access to ECP via Access Management Portal. CCEPs and TTEPs who have not set up the access properly by Friday will be unable to participate in the Additional Practice Session.
20 May 2023 (Saturday)	
Submission of BCAN-CID Mapping File and Authorised TTEP Firm List	
9:00am – 11:30am	<p>Access via ECP web interface</p> <ul style="list-style-type: none"> <input type="checkbox"/> Make sure EU_ECPNBCAN has been granted to your account in Access Management Portal. <input type="checkbox"/> Access ECP through https://ecp2.hkex.com.hk, then select "HKEX Access Management Portal" button to login through Access Management Portal. You will be redirected to Access Management Portal. After successful login, you will be redirected back to ECP. <input type="checkbox"/> Select "Submission" from the menu and choose submission folder "BCAN-CID Mapping File and Authorised TTEP Firm List for Northbound Trading" from the list. <input type="checkbox"/> Submit BCAN-CID Mapping File and retrieve BCAN-CID Response File shortly from Download corner after submission. <input type="checkbox"/> (CCEPs only) Submit Authorised TTEP Firm List if needed and retrieve Authorised TTEP Firm List Response File from Download corner after submission. <p>Access via ECP SFTP</p> <ul style="list-style-type: none"> <input type="checkbox"/> Make sure both EU_ECPNBCAN and EU_ECPSFTP have been granted to your account in Access Management Portal, and public key and IP addresses have been properly set up in your ECP account. <input type="checkbox"/> Connect to ECP SFTP via SDNet or HSN according to ECP User Guide. <input type="checkbox"/> Submit BCAN-CID Mapping File to the submission folder "BCANMAPP" and retrieve acknowledgement file or rejection file from the same submission folder. <input type="checkbox"/> Retrieve BCAN-CID Response File from the download folder "BCANMAPP" shortly after submission. <input type="checkbox"/> (CCEPs only) Submit Authorised TTEP Firm List to the submission folder "BCANMAPP" if needed and retrieve Authorised TTEP Firm List Response File from the download folder "BCANMAPP".

Time	Activities
	<p>Please note:</p> <ol style="list-style-type: none"> 1. Please use “20230520” in the “Date of Submission” part of the file name (i.e. BCANMAPP_<FIRM ID>_<20230520>.zip). 2. To avoid massive changes (deletion and update) of BCAN during the Additional Practice Session, please submit BCAN-CID Mapping File based on the latest production data as of 19 May 2023. 3. (TTEPs only) To ensure a smooth submission and retrieval of day-end files, please liaise with your CCEPs to authorise you properly in Authorised TTEP Firm List before the Additional Practice Session. In case you are not authorised by your CCEPs, you will receive a response file with rejection after the submission of BCAN-CID Mapping File but may not receive the BCAN-CID Validation Result file and BCAN Full Image File afterwards. 4. BCAN-CID Mapping Files submitted during the Additional Practice Session will be removed after the Additional Practice Session and will not be effective in production. The submission folder will also be disabled accordingly.
Retrieval of BCAN-CID Validation Result File and BCAN Full Image File	
1:30pm – 2:30pm	<p>Access via ECP web interface</p> <ul style="list-style-type: none"> <input type="checkbox"/> Retrieve and verify BCAN-CID Validation Result File and BCAN Full Image File from Download Corner. <input type="checkbox"/> Perform end-of-trading procedures, if any, to ensure data integrity. <p>Access via ECP SFTP</p> <ul style="list-style-type: none"> <input type="checkbox"/> Retrieve and verify BCAN-CID Validation Result File and BCAN Full Image File from the download folder “BCANMAPP”. <input type="checkbox"/> Perform end-of-trading procedures, if any, to ensure data integrity. <p>Please complete and submit the declaration form via ECP on or before 23 May 2023 (Tuesday).</p>
Post Test Verification Session after Additional Practice Session – fallback to existing SFTP facility (optional)	
4:00pm – 5:30pm	<ul style="list-style-type: none"> <input type="checkbox"/> Submit BCAN-CID Mapping File via existing SFTP facility. A rejection file will be returned by the system as mapping files will not be processed in the verification session.

Notes:

- (1) Contingency Arrangements upon issuance of Typhoon, Extreme Conditions and/or Rainstorm Warning Signal on the day of Practice Session.
- i. In case Typhoon Signal No. 8 or above, Extreme Conditions and/ or Black Rainstorm Warning is issued or continues to be in force anytime from 7:00am to before 9:00am, the Practice Session will be cancelled and will not be resumed for the rest of the day.
 - ii. In case Typhoon Signal No. 8 or above or Extreme Conditions is issued on/ or after 9:00am on the day of practice session, the Practice Session will be terminated 15 minutes thereafter.
 - iii. In case Black Rainstorm Warning is issued on/ or after 9:00am on the day of Practice Session, the Practice Session will continue until completion.
- (2) Should CCEPs and TTEPs have any query or identify any irregularities during the Practice Session, please contact the following Support Hotline:

	<u>Telephone Number</u>	<u>Email Address</u>	<u>Hotline Support Service Hours</u>
For BSS Support	2840 3351	bsssupport@hkex.com.hk	8:30am – 2:30pm
For General Enquiry	2840 3626	otpc@hkex.com.hk	