

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Registration of Webinars on Self-Match Prevention Service in the Securities and Derivatives Markets

Explanatory Notes:

- 1) Please complete Part I and Part II below.
- 2) Please save the completed Registration Form in pdf format with file name as SMP_NNNN.pdf (where NNNNN is Participant ID with a leading zero). Then login to <u>Electronic Communication Platform (ECP)</u> via HKEX Access Management Portal by using user with function "EU_ECPTO", navigate to the left navigation menu to select "Submission" function, then click the hyperlink of "Registration of webinars on Self-Match Prevention service in the securities and derivatives markets" on the submission list page to submit. The completed Registration Form should be submitted <u>on or before 5 October 2023</u>.
- 3) Maximum 2 attendees per Exchange Participant across the 2 sessions. Confirmation email together with the webinar login information will be sent to those who have successfully registered at least 1 day prior to the webinar.
- 4) Please do not forward the webinar login information to non-registered attendees.
- 5) For enquiry of this Registration Form, please contact HKEX Participant General Enquiry Hotline at 2840-3626.

Part I - Participant Information

| Name of Exchange Participant (EP) | nge Participant (EP) | |
|-----------------------------------|------------------------|--------------------|
| Contact Person Name | Business Email Address | Business Phone No. |

Part II – Attendee Details [please select one webinar per each attendee and fill in the information below]

| | Name of Attendee | Email Address | Webinar | |
|----|------------------|---------------|---------|--|
| 1. | | | | Cantonese - 11 Oct 2023 (Wed) 17:00 - 18:00 |
| | | | | English - 13 Oct 2023 (Fri) 17:00 – 18:00 |
| 2. | | | | Cantonese - 11 Oct 2023 (Wed) 17:00 - 18:00 |
| | | | | English - 13 Oct 2023 (Fri) 17:00 – 18:00 |