

香港交易及結算有限公司是《證券及期貨條例》所述的認可控制人，亦為香港聯合交易所有限公司、香港期貨交易所有限公司、香港中央結算有限公司、香港聯合交易所期權結算有限公司、香港期貨結算有限公司及香港場外結算有限公司的控制人。

Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

通告 CIRCULAR

Subject: China Connect Surveillance Training - Rules Governing the Real-Time Monitoring of Abnormal Trading

Enquiry: surveillance@hkex.com.hk

Reference is made to Exchange Circular (Ref: [CT/025/23](#)) on the relevant amended rules in relation to listing regime update published by the Shanghai Stock Exchange (“SSE”) and Shenzhen Stock Exchange (“SZSE”) on 17 February 2023. In order to enhance participants’ understanding of the Rules Governing the Real-Time Monitoring of Abnormal Trading applicable to China Connect northbound trading, HKEX is offering two seminars (in Putonghua) on 31 May 2023 and 1 June 2023 to all China Connect Exchange Participants (“CCEPs”) and Trade Through Exchange Participants (“TTEPs”). HKEX is pleased to advise that the SSE and SZSE surveillance teams will be presenting the seminars.

As seats are limited, enrolment will be on a first-come, first-served basis. The registration deadline of the seminars is **Friday, 12 May 2023**. Please refer to the Appendix for enrolment details.

Felix Wang
Head of Market Surveillance and Monitoring
Operations Division

This circular has been issued in English with a separate Chinese translation of the same. If there is any discrepancy between the Chinese version and the English version, the English version shall prevail.