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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

## THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: LAUNCH OF SELF-MATCH PREVENTION SERVICE IN HONG KONG

SECURITIES MARKET

Enquiry: Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Following the successful completion of the practice sessions (Ref. No.: CT/019/24 and CT/025/24) and the regulatory approval, the Exchange is pleased to announce that Self-Match Prevention (SMP) service in Hong Kong Securities Market will be open for applications by Exchange Participants (EPs) from 2 April 2024 (Tuesday).

To prepare for the launch of the SMP service, the Orion Trading Platform – Securities Market (OTP-C) will be upgraded and an **optional Post Release Test (PRT)** will be held on **23 March 2024 (Saturday)**. Exchange Participants (EPs) who opt to use the SMP service are encouraged to participate in the PRT and follow the below arrangements accordingly:

#	Date & Time	Events	Details
1a.	23 March 2024 (Saturday) 9:00am to 12:10pm	Optional Post Release Test (PRT)	<ul> <li>Interested EPs are required to complete the Registration Form and return it to the Exchange on or before 21 March 2024 (Thursday). Detailed activity rundown of the PRT will be provided to the registered EPs separately.</li> <li>No SMP ID will be assigned to the registered EPs as the PRT will be conducted in production environment. EPs are only required to submit orders to simulate the normal daily operations.</li> </ul>

<sup>&</sup>lt;sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here</u>.



#	Date & Time	Events	Details
			- EPs relying on information vendors to provide market data updates for trading purpose should liaise with their respective information vendors for providing market data support during the PRT.
1b.	23 March 2024 (Saturday) Around 3:00pm	Confirmation of SMP rollout	<ul> <li>The Exchange will confirm the rollout via a special message posted on <u>SMP web corner</u>.</li> <li>If there is an unexpected incident and system fallback is needed, the Exchange will also make an announcement on the same web corner.</li> </ul>
2.	From 2 April 2024 (Tuesday)	The SMP service is open for applications	<ul> <li>Documents related to the SMP service (e.g., application forms, explanatory notes, and Frequently Asked Questions (FAQs)) will be available on SMP web corner in the week of 25 March 2024. EPs are required to read the respective documents and information carefully before applying for the SMP service.</li> <li>EPs can submit the application forms to apply for SMP ID via ECP from 2 April 2024 (Tuesday).</li> </ul>

The Exchange would like to take this opportunity to thank all EPs for their cooperation and participation in the practice sessions in supporting the introduction of the SMP Service.

Herman Sin Senior Vice President Trading Department Operations Division