

**<Attachment 1: Trading Arrangements of CSOP Nikkei 225 Index ETF>****1. Trading Model**

Name of the Fund	CSOP Nikkei 225 Index ETF
Stock Code	3153
Stock Short Name	CSOP NIKKEI225
Trading Currency	HKD
Underlying Benchmark	Nikkei Stock Average Index (net total return version)
Securities Market Maker	<ul style="list-style-type: none"><li>• ABN AMRO Clearing Hong Kong Limited (Designated Specialist: Vivienne Court Trading Pty. Ltd.)</li><li>• IMC Asia Pacific Limited</li><li>• Optiver Trading Hong Kong Limited</li></ul>
Market Making Obligation	Please see Attachment 2 of this circular and the 14 <sup>th</sup> Schedule of the Rules of the Exchange
Board Lot Size	10 units
Net Asset Value per Unit	Please refer to the ETF(s) <a href="#">website</a>
Spread	Based on the Spread Table (Part D) in the Second Schedule to the Rules of the Exchange
Short Selling	Allowed; with tick rule exemption
AFRC Transaction Levy	0.00015% per side with exemption for market making transactions only
SFC Transaction Levy	0.0027% per side with exemption for market making transactions only
Investor Compensation Levy	Currently suspended
Trading Fee	0.00565% per side with exemption for market making transactions only <sup>^</sup>
Stamp Duty	Not Applicable

Remarks:

- <sup>^</sup> Different rate of exemption of the Trading Fee will apply to the Securities Market Maker Transactions in different categories of Exchange Traded Products. Please refer to [ETP webpage](#) for details.

## **2. Fund Information**

To facilitate trading of the CSOP Nikkei 225 Index ETF, relevant information will be available as follows:

- Stock information will be available on designated stock counter 3153;
- The Trustee / Manager of the Fund will provide financial information on the Fund, including net asset value per unit, total units outstanding, asset under management, etc. on the HKEXnews website (<http://www.hkexnews.hk/>);
- Details about the Fund are available on the website <https://www.csopasset.com/en/products/hk-nik225>.

## **3. Settlement**

Settlement will be conducted on T+2 day through Hongkong Clearing's CCASS on the Continuous Net Settlement basis.