



香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Registration Form for the Post Release Test (PRT) of the Phase 1 of Minimum Spreads Reduction

Notes:

- 1) An <u>optional</u> PRT will be held on 2 August 2025 (Saturday). Participating Exchange Participants (EPs) are required to complete and return this Registration Form to HKEX through Electronic Communication Platform (ECP) (https://ecp2.hkex.com.hk/) on or before 31 July 2025 (Thursday). Late submissions of the form will not be accepted. If the same form is re-submitted, the latest copy will supersede the previous copy.
- 2) Please save the completed Registration Form in pdf format with file name as RF_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to ECP via HKEX Access Management Portal by using a user with the business function "EU_ECPTO", navigate to the left navigation menu to select the "Submission" function, then click on "PRT Registration Form of the Spreads Reduction P1" on the submission list page to submit.
- 3) The production OCG-C/BSS and Drop-Copy session(s) will be turned on to successful registered EPs only.
- 4) For all PRT related matters, HKEX will contact below designated contact person(s) if necessary.
- 5) For enquiry of this Registration Form, please contact Participant General Enquiry Hotline at 2840-3626.

Exchange Participant Information				
Participant Name		Participant ID (e.g. 1234)		
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Primary Contact Person		Business Phone No.	Mobile Phone No. (optional)	Business Email Address
Secondary Contact Person		Business Phone No.	Mobile Phone No. (optional)	Business Email Address
Registration Details				
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$\overline{\mathbf{A}}$	We confirm to participate in PRT from 9:00am to 12:10pm on 2 August 2025 (Saturday) and request HKEX to turn on all our production OCG-C/BSS and Drop-Copy session(s) to simulate normal trading activities.			
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We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).