

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Seminars on Northbound Program Trading Reporting Guidelines and Surveillance-related Matters
Enquiry: PT_MS@hkex.com.hk

Reference is made to Exchange Circular (Ref: [MSM/008/25](#)) regarding the Publication of Northbound Program Trading Reporting Guidelines (“the Guidelines”) by Shanghai Stock Exchange (“SSE”) and Shenzhen Stock Exchange (“SZSE”) on 11 July 2025.

In order to facilitate China Connect Exchange Participants’ (“CCEPs”) and Trade Through Exchange Participants’ (“TTEPs”) understanding on the Guidelines and northbound program trading related surveillance arrangements and monitoring practices, HKEX has coordinated two seminars to be conducted by the SSE and SZSE surveillance teams on 15 September 2025 and 17 September 2025. These seminars are open to all CCEPs and TTEPs only.

As seats are limited, enrolment will be accepted on a first-come, first-served basis. The registration deadline is Friday, 29 August 2025. Please refer to the Appendix for enrolment details.

Felix Wang
Head, Market Surveillance and Monitoring
Operations Division

This circular has been issued in English with a separate Chinese translation of the same. If there is any discrepancy between the Chinese version and the English version, the English version shall prevail.

Enrolment for Seminars on Northbound Program Trading Reporting Guidelines and Surveillance-related Matters

Details of the seminars are as follows:

Date	Time	Language	Venue
15 September 2025 (Monday)	2:00* - 6:00pm	Putonghua	HKEX Connect Hall
17 September 2025 (Wednesday)	1:00* - 5:00pm	Putonghua	HKEX Connect Hall

*Registration commences 15 minutes before the scheduled start time.

1. Enrolment will be on a first-come, first-served basis, with a maximum of two seats per CCEP / TTEP. Responsible Officers and compliance professionals are encouraged to attend and enroll by completing the online registration via the [registration link](#) **on or before 29 August 2025 (Friday)**:
 - a) 15 September 2025 (Session 1)
 - b) 17 September 2025 (Session 2)

Please note that both sessions will cover the same content.

2. A confirmation email will be sent to successful registrants by 5 September 2025. Attendees should refer to the confirmation email for logistical details and submit any questions they wish to raise during the Q&A session.