

Submission deadline: on or before 27 Mar 2026

**Notification Form for HKEX Backbone Network Upgrade for Securities Market Systems Market Rehearsals**

**Notes:**

1. Please complete Section I and read Section II.
2. Please save the completed notification form in pdf format with the file name as OTPNETNF\_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to [ECP](#) via HKEX Access Management Portal by using user with the business function “[EU\\_ECPTO](#)”, navigate to the left navigate menu to select “Submission” function, then click the hyperlink “Notification Form for HKEX Backbone Network Upgrade for Securities Market Systems Market Rehearsals” on the submission list page to submit. The completed notification form should be submitted to HKEX **on or before 27 March 2026 (Friday)**.
3. In case of unexpected emergency situation happens during the Market Rehearsals, HKEX’s announcement will be sent to the below designated contact person’s email address.
4. For enquiry, please contact HKEX - Participant General Enquiry Hotline at 2840-3626.

**Section I Exchange Participant (EP) Information**

Name of EP	Participant ID (e.g. 1234)
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**Designated Contact Person for Market Rehearsals**

Contact Person Name	Business Phone No.	Business Mobile Phone No.	Business Email Address

**Section II Exchange Participant Acknowledgement**

- We acknowledge that we are required to participate in the first two Mandatory Market Rehearsals scheduled for 11 April 2026 (Saturday) and 30 May 2026 (Saturday), in accordance with the prescribed activity rundowns, including the order submission to OTP-C (for EPs) and OTP-CSC (for CCEPs), and logging into ECP via SFTP (if applicable). We will participate in the subsequent Market Rehearsals according to the arrangements announced in due course.

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties for processing as set out in the General Privacy Notice (as updated from time to time at [https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc\\_lang=en](https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en)).