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編號 Ref. No.:	CT/106/26
日期 Date:	17/07/2026

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **VOLUNTARY SUBMISSION OF ADDITIONAL INFORMATION FOR NORTHBOUND TRADING UNDER STOCK CONNECT**

Enquiry: **Participant General Enquiry Hotline[1] (Tel: 2840 3626 Email: trd@hkex.com.hk)**

Reference is made to the press releases issued by Hong Kong Exchanges and Clearing Limited, Shanghai Stock Exchange ("SSE") and Shenzhen Stock Exchange ("SZSE") on 17 July 2026 regarding The Stock Exchange of Hong Kong Limited ("SEHK") entering into a Memoranda of Understanding with SSE and SZSE respectively in relation to the voluntary submission of additional information by China Connect Exchange Participants ("CCEPs") and Trade-through Exchange Participants ("TTEPs") ("the Arrangement") for Northbound Trading under Stock Connect.

Under the Arrangement, CCEPs and TTEPs engaging in proprietary trading ("Proprietary Trading CCEPs and TTEPs") may voluntarily submit additional information relating to their proprietary Northbound trading[2], via SEHK, to SSE and/or SZSE (as applicable), to facilitate SSE's and SZSE's monitoring and surveillance of Northbound program trading activities. Interested Proprietary Trading CCEPs and TTEPs please call Participant General Enquiry Hotline at 2840 3626 for further information.

Technical Document

To assist Proprietary Trading CCEPs and TTEPs who wish to participate in the Arrangement, Interface Specifications containing the technical details of the Arrangement is now available to support their technical preparation.

[1] All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available here.
[2] Covers A shares, submissions relating to ETFs, etc will be supported.

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Proprietary Trading CCEPs and TTEPs participating in the Arrangement should take note that the additional information submitted under the Arrangement must not be generated, assigned or handled in a manner that could identify any individual or otherwise result in non-compliance with the applicable laws.

Testing Arrangements

To facilitate smooth implementation of the Arrangement, an **optional** End-to-End ("E2E") test session will be conducted from **22 July 2026 (Wednesday) to 14 August 2026 (Friday)**. Proprietary Trading CCEPs and TTEPs who wish to participate in the Arrangement are strongly encouraged to take part in the E2E test session to verify their system readiness. Proprietary Trading CCEPs and TTEPs who wish to participate in the E2E test session are required to complete and submit a Registration Form to the SEHK **on or before 24 July 2026 (Friday)**.

Details of the business launch arrangements including Practice Session and Post Release Test will be announced in due course.

Chan Wai Hung
Senior Vice President
Trading Department
Operations Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail