

Registration Form for the End-to-end (E2E) Test Session of the Voluntary Submission of Additional Information for Northbound Trading under Stock Connect

Notes:

- 1) An **optional** E2E test will be held during 22 July 2026 (Wednesday) – 14 August 2026 (Friday). Interested Proprietary Trading China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants ("TTEPs") are encouraged to complete and return this Registration Form to HKEX through Electronic Communication Platform (ECP) (<https://ecp2.hkex.com.hk/>) **on or before 24 July 2026 (Friday)**. **If the same form is re-submitted, the latest copy will supersede the previous copy.**
- 2) Please save the completed Registration Form in pdf format with file name as RFNB_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to ECP via HKEX Access Management Portal by using a user with the business function "EU_ECPTO", navigate to the left navigation menu to select the "Submission" function, then click on "Registration Form - E2E Test of the Voluntary Submission of Additional Info for NB Trading" on the submission list page to submit.
- 3) For all E2E related matters, HKEX will contact below designated contact person(s) if necessary.
- 4) This E2E test session is not applicable to CCEPs and TTEPs that select "No" for "Engaging in proprietary trading involving underlying counterparties".
- 5) For enquiry regarding this Registration Form, please contact Participant General Enquiry Hotline at 2840-3626.

Exchange Participant Information

Participant Name		Participant ID (e.g. 1234)	
		Engaging in proprietary trading involving underlying counterparties? (Notes 4) ☐ Yes ☐ No	
Primary Contact Person	Business Phone No.	Mobile Phone No.	Business Email Address
Secondary Contact Person	Business Phone No.	Mobile Phone No.	Business Email Address

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).