

Submission deadline: on or
before 5 August 2026

Notification Form for HKEX Backbone Network Upgrade for Securities Market Systems Post Release Tests

Notes:

1. Please complete Section I.
2. Please save the completed notification form in pdf format with the file name as OTPPRT_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to [ECP](#) via HKEX Access Management Portal by using a user with the business function “**EU_ECPTO**”, navigate to the left navigate menu to select “Submission” function, then click the hyperlink “**Notification Form for HKEX Backbone Network Upgrade for Securities Market Systems Post Release Tests**” on the submission list page to submit. The completed notification form should be submitted to HKEX **on or before 5 August 2026 (Wednesday)**. If the same form is re-submitted, the latest copy will supersede the previous copy.
3. In case of unexpected emergency situation happens during the Post Release Tests, HKEX’s announcement will be sent to the below designated contact person’s email address.
4. For enquiry, please contact HKEX - Participant General Enquiry Hotline at 2840-3626.

Section I Exchange Participant (EP) Information

Name of EP	Participant ID (e.g. 1234)
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Designated Contact Person for Post Release Tests

Contact Person Name	Business Phone No.	Business Mobile Phone No.	Business Email Address

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties for processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).