

Registration Form for the Practice Sessions (PS) of the Voluntary Submission of Additional Information for Northbound Trading under Stock Connect

Notes:

- Two **optional** PS will be held on 8 August 2026 (Saturday) and 15 August 2026 (Saturday) respectively. The first PS ("PS1") will only support order input and mapping file submission testing to Shenzhen Stock Exchange while the second PS ("PS2") will only support order input and mapping file submission testing to Shanghai Stock Exchange.
- Interested Proprietary Trading China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants ("TTEPs") are encouraged to complete and return this Registration Form to HKEX through Electronic Communication Platform (ECP) (<https://ecp2.hkex.com.hk/>) **on or before 6 August 2026 (Thursday)**. **If the same form is re-submitted, the latest copy will supersede the previous copy.**
- Please save the completed Registration Form in pdf format with file name as RFNBT_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to ECP via HKEX Access Management Portal by using a user with the business function "EU_ECPTO", navigate to the left navigation menu to select the "Submission" function, then click on "Registration Form – Testing of the Voluntary Submission of Additional Info for NB Trading" on the submission list page to submit.
- For all PS related matters, HKEX will contact below designated contact person(s) if necessary.
- The two PS are not applicable to CCEPs and TTEPs that select "No" for "Engaging in proprietary trading involving underlying counterparties".
- For enquiry regarding this Registration Form, please contact Participant General Enquiry Hotline at 2840-3626.

Exchange Participant Information

Participant Name		Participant ID (e.g. 1234)	
		Engaging in proprietary trading involving underlying counterparties? (Notes 5) ☐ Yes ☐ No	
Primary Contact Person	Business Phone No.	Business Mobile Phone No.	Business Email Address
Secondary Contact Person	Business Phone No.	Business Mobile Phone No.	Business Email Address

Registration Details [Please tick the appropriate ☐

- ☐ We confirm to participate in PS1 on **8 August 2026 (Saturday)** and request HKEX to **turn on all our production CCCG/BSS and China Connect Drop-Copy session(s)** to perform login and order input. [applicable to CCEP only]
- ☐ We confirm to participate in PS2 on **15 August 2026 (Saturday)** and request HKEX to **turn on all our production CCCG/BSS and China Connect Drop-Copy session(s)** to perform login and order input. [applicable to CCEP only]

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).