

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **NORTHBOUND TRADING UNDER SHANGHAI-HONG KONG STOCK CONNECT - PRACTICE SESSION FOR SHANGHAI STOCK EXCHANGE AUCTION TRADING SYSTEM UPGRADE**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Reference is made to the Exchange Circular (Ref: [CT/066/26](#)) regarding the upgrade of Shanghai Stock Exchange ("SSE") Auction Trading System for Northbound ("NB") Trading (the "Upgrade"). China Connect Exchange Participants ("CCEPs") and Trade-through Exchange Participants ("TTEPs") are requested to note that SSE will arrange a **Practice Session ("PS") on 22 August 2026 (Saturday)** in preparation for the Upgrade, on the same day as **the second Mandatory Post Release Test ("PRT2")** of the HKEX Backbone Network Upgrade announced in Exchange Circular (Ref: [CT/116/26](#)).

CCEPs are advised to take note of the following arrangements for NB Trading with SSE during the PS:

1. Testing environment will be available during **9:15am – 11:30am on 22 August 2026 (Saturday)**, with trading suspension during 10:40am – 10:45am for SSE's system failover simulation. CCEPs are strongly encouraged to continue testing after this trading suspension to confirm their trading services have properly resumed;
2. The stock database as at market open on 24 August 2026 will be used;
3. Valid BCANs must be used during the PS. Orders tagged with invalid or unregistered BCANs will be rejected during the PS; and
4. HKEX Orion Market Data Platform – China Connect (Securities) ("OMD-CC") will operate and disseminate market data during the PS.

The updated PRT2 activity rundown ([Attachment 1](#) to Exchange Circular (Ref: [CT/116/26](#))) provides the detailed rundown and stock holdings for the PS. While no technical or interface changes are required

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

for the Upgrade, CCEPs are strongly encouraged to take this opportunity to verify connectivity with SSE's upgraded system. Details on further testing arrangements and the implementation timeline will be announced in due course.

Thank you in advance for your participation and co-operation.

Herman Sin
Senior Vice President
Trading Department
Operations Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.