

Submission deadline: on or
before 2 September 2026

Registration Form for HKEX Backbone Network Upgrade for Securities Market Systems Post Release Test 3

Notes:

1. An **optional** Post Release Test 3 will be held on **5 September 2026 (Saturday)**. Interested Exchange Participants (EPs) and China Connect Exchange Participants (CCEPs) are required to complete and return this registration form to HKEX through Electronic Communication Platform (ECP) (<https://ecp2.hkex.com.hk/>) **on or before 2 September 2026 (Wednesday)**. **If the same form is submitted again, the latest copy will supersede the previous copy.**
2. Please save the completed registration form in pdf format with the file name as OTPNPRT_NNNNN.pdf (where NNNNN is Participant ID with a leading zero) and login to [ECP](#) via HKEX Access Management Portal by using user with the business function "**EU_ECPTO**", navigate to the left navigate menu to select "Submission" function, then click the hyperlink "Registration Form for HKEX Backbone Network Upgrade Post Release Test 3" on the submission list page to submit.
3. Upon receipt of the registration form, HKEX will send an email confirmation together with the activity rundown to the email address of the contact person provided below prior to the Post Release Test 3.
4. For enquiry, please contact HKEX - Participant General Enquiry Hotline at 2840-3626.

Exchange Participant Information

Participant Name			Participant ID (e.g. 1234)
Primary Contact Person	Business Mobile Phone No.	Business Phone No.	Email Address
Secondary Contact Person	Business Mobile Phone No.	Business Phone No.	Email Address

Registration Details [Please tick the appropriate ☐]

☐	We confirm to participate in HKEX Backbone Network Upgrade for Securities Market Systems Post Release Test 3 on 5 September 2026 (Saturday) and request HKEX to turn on all our production OCG-C/BSS and Drop-Copy session(s) to perform login and order input.
☐	We confirm to participate in HKEX Backbone Network Upgrade for Securities Market Systems Post Release Test 3 on 5 September 2026 (Saturday) and request HKEX to turn on all our production CCCG/BSS and China Connect Drop-Copy session(s) to perform login and order input. [applicable to CCEP only]

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties for processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).