

Registration Form for the Practice Session (PS) and Post Release Test (PRT) of the 9-times Rule Enhancements in the Hong Kong Securities Market

Notes:

1. An **optional** Practice Session will be held on **10 October 2026 (Saturday)** and an **optional** Post Release Test will be held on **31 October 2026 (Saturday)**. Interested Exchange Participants (EPs) are required to complete and return this registration form to HKEX through Electronic Communication Platform ([ECP](#)) **on or before 7 October 2026 (Wednesday)**. **Late submissions of the form will not be accepted. If the same form is re-submitted, the latest copy will supersede the previous copy.**
2. Please save the completed registration form in pdf format with file name as PTR5X_NNNNN.pdf (where NNNNN is Participant ID with a leading zero). Then, login to ECP via HKEX Access Management Portal by using a user with the business function "EU_ECPTO", navigate to the left navigation menu to select the "Submission" function, then click the hyperlink of "Registration Form - PS and PRT of the 9-times Rule Enhancements in the Hong Kong Securities Market" on the submission list page to submit.
3. Upon receipt of the registration form, HKEX will send an email confirmation together with the activity rundown to the email address of the contact person provided below prior to the PS and PRT.
4. For all PS and PRT related matters, HKEX will contact below designated contact person(s) if necessary.
5. For enquiry, please contact Participant General Enquiry Hotline at 2840-3626.

Exchange Participant Information

Participant Name			Participant ID (e.g. 1234)
Primary Contact Person	Business Mobile Phone No.	Business Phone No.	Business Email Address
Secondary Contact Person	Business Mobile Phone No.	Business Phone No.	Business Email Address

Registration Details *[Please tick the appropriate ☐*

☐	We confirm to participate in PS from 9:00am to 12:10pm on 10 October 2026 (Saturday) and request HKEX to turn on all our production OCG-C/BSS and Drop-Copy session(s) to perform login and order input.
☐	We confirm to participate in PRT from 9:00am to 12:10pm on 31 October 2026 (Saturday) and request HKEX to turn on all our production OCG-C/BSS and Drop-Copy session(s) to perform login and order input.

We represent and warrant that, to the extent that we provide or submit any personal data, we have a lawful basis, and where required under applicable data protection legislation, we have provided requisite notices to and/or obtained valid written consent from individuals to share their personal data with HKEX, its affiliates and any third parties processing as set out in the General Privacy Notice (as updated from time to time at https://www.hkex.com.hk/Global/Exchange/HKEX-Privacy-Notices?sc_lang=en).