

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **OPTIONAL POST RELEASE TEST FOR VERIFICATION OF NORTHBOUND TRADING TECHNICAL UPGRADE**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

China Connect Exchange Participants (“CCEPs”) are requested to note that a technical upgrade for Northbound (“NB”) Trading will be launched on **2 November 2026 (Monday)**. An **optional Post Release Test (“PRT”)** will be arranged on **31 October 2026 (Saturday)** for CCEPs to verify their connectivity with HKEX systems. While no system or interface changes are required from CCEPs, CCEPs are strongly encouraged to participate in the PRT to ensure smooth operation.

Interested CCEPs are required to complete and submit the registration form ([Attachment 1](#)) via [ECP](#) by **28 October 2026 (Wednesday)**. Detailed activity rundown of the PRT will be separately sent to the registered CCEPs.

CCEPs are advised to take note of the following arrangements for NB Trading with Shenzhen Stock Exchange during the PRT:

1. Testing environment will be available during **9:15am – 11:30am on 31 October 2026 (Saturday)**;
2. The stock database as at market open on 2 November 2026 will be used;
3. Valid BCANs must be used during the PRT. Orders tagged with invalid or unregistered BCANs will be rejected during the PRT;
4. NB orders for Shanghai Connect will not be accepted as Shanghai Stock Exchange will not participate; and
5. HKEX Orion Market Data Platform – China Connect (Securities) (“OMD-CC”) will operate and disseminate market data during the PRT.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

Thank you for your participation and co-operation.

Herman Sin
Senior Vice President
Trading Department
Operations Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.