

香港聯合交易所期權結算所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE SEHK OPTIONS CLEARING HOUSE LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **2023 Planned Initiatives for DCASS / CCMS for the Derivatives Market**
Enquiry: **DCASS Hotline: 2979 7222 / Email: clearingpsd@hkex.com.hk**

Reference is made to the circular dated 11 November 2022 (Ref: [MO/DT/302/22](#)) issued by Hong Kong Exchanges and Clearing Limited (HKEX). HKEX plans to implement the following initiatives relating to DCASS / CCMS in 2023. To facilitate Clearing Participants (CPs)' planning and preparation, please find the initiatives as follows:

1. Upgrade of Google Chrome browser version for DCASS Online

CPs please note that the version of Google Chrome browser for DCASS Online will be updated **at least twice every year**. In order to ensure CPs can login their DCASS, CPs are required to upgrade their DCASS Online workstations with Google Chrome browser version as prescribed by HKEX from time to time, detailed arrangement will be announced in December 2022 and Q3 2023 respectively. CPs please note that they may not be able to login DCASS if the browser version of their workstations of DCASS Online are not upgraded according to HKEX's requirement.

2. Annual DCASS / CCMS Data Centre Failover Rehearsal

HKEX will conduct annual DCASS / CCMS data centre failover rehearsal ("Rehearsal"). The objective of the Rehearsal is to enable CPs and related parties to familiarize themselves with the contingency procedures for failover of DCASS / CCMS data centres. The Rehearsal will also cover the data centre failover of other HKEX systems including OTP-C, OTP-CSC, HKATS,

CCASS, OCASS, OASIS and HKEX Orion Market Data Platform. Details of the Rehearsal will be announced in Q2/3 2023.

3. Change of Authentication Arrangement of CCMS Terminal Access

Reference is made to the circular issued on 31 October 2022 (Ref: [CD/OEC/HKCC/303/2022](#)). To enhance security assurance, CPs will progressively migrate to logging into CCMS by Two-Factor Authentication using a regular password together with a One-Time-Password generated by soft token via mobile application or email by Q2 2023. More information will be announced in due course.

4. Upgrade of Report Retrieval Solution

HKEX plans to upgrade Report Retrieval Solution in Q1 2023 and the upgrade is technically transparent to CPs. A Post Release Verification session will be held during the cutover weekend. Detailed arrangement will be announced in due course.

CPs are highly recommended to coordinate with their IT teams / vendors for necessary planning and preparation. For any enquiries, please contact the DCASS hotline at 2979 7222 or email to clearingpsd@hkex.com.hk.

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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.