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香港聯合交易所期權結算所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE SEHK OPTIONS CLEARING HOUSE LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: **Software Upgrade on Report Retrieval Solution for Listed Derivatives Markets and Post Release Connectivity Sessions**  
Enquiry: **DCASS Hotline: 2979 7222 / Email: [clearingpsd@hkex.com.hk](mailto:clearingpsd@hkex.com.hk)**

Reference is made to the circular dated 11 November 2022 (Ref: [CD/OEC/SEOCH/311/22](#)) issued by Hong Kong Exchanges and Clearing Limited (“HKEX”). HKEX is pleased to announce that the software upgrade on Report Retrieval Solution for Listed Derivatives Markets will be rolled out on Monday, 17 April 2023. This software upgrade (“Upgrade”) is technically transparent to Clearing Participants (“CPs”). In order to allow CPs to verify the upgraded software, optional post release connectivity sessions are scheduled as below:

Date	Time	Sites
15 April 2023 (Saturday)	14:30 – 15:30	Secondary Data Centre (Session 1)
	16:30 – 17:30	Primary Data Centre (Session 2)

CPs who wish to participate in the optional post release connectivity sessions should register in the event section via [Client Connect](#) on or before 6 p.m., Thursday, 6 April 2023. Detailed activity rundown are set out in Attachment for reference.

After the Upgrade, there will be a two-week stabilization period from 17 April 2023 to 28 April 2023 (“Stabilization Period”). **During the Stabilization Period, CPs are reminded** of the below:

- (i) CPs are required to download and back up their DCASS reports on a daily basis; and
- (ii) CPs should NOT renew their secure shell keys in Report Retrieval Solution

CPs are highly recommended to coordinate with their IT teams and/or system vendors for necessary planning and preparation. For any enquiries, please contact DCASS hotline at 2979 7222 or email at [clearingpsd@hkex.com.hk](mailto:clearingpsd@hkex.com.hk).

**Maggie MK Lai**  
**Senior Vice President**  
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**Operations Division**

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*

**Attachment**

**CPs should follow the below schedule and timetable during the Post Release Connectivity Sessions on 15 April 2023 (Saturday):**

<b>TIME FROM</b>	<b>TIME TO</b>	<b>SCOPE</b>
<b>Secondary Data Centre (Session 1)</b>		
<p>a) Before access to HKEX SFTP secondary data center (via HSN or SDNET), CPs should check the following IP addresses:            10.152.14.121 port 10022            10.152.14.122 port 10022</p> <p>b) Before conducting secondary data center connectivity, CPs should check and ensure the SFTP's IP address has been changed from primary connection to secondary connection, i.e. 10.152.14.121 and 10.152.14.122</p>		
<b>14:30</b>	<b>15:30</b>	<p>Steps</p> <ul style="list-style-type: none"> <li>• Login to the SFTP by inputting the User ID with SSH Key</li> <li>• Check the number of files (e.g. reports) in the folder remains unchanged as last business date</li> <li>• Download one or two files (e.g. TP001, TP002) from the folder</li> <li>• Log out once the above steps have been completed</li> </ul>
<b>Primary Data Centre (Session 2)</b>		
<p>a) Before access to HKEX SFTP primary data center (via HSN or SDNET), CPs should check the following IP addresses:            10.151.14.121 port 10022            10.151.14.122 port 10022</p> <p>b) Before conducting primary data center connectivity, CPs should check and ensure the SFTP's IP address has been changed from secondary connection to primary connection, i.e. 10.151.14.121 and 10.151.14.122</p>		

TIME FROM	TIME TO	SCOPE
16:30	17:30	<p>Steps</p> <ul style="list-style-type: none"><li>• Login to the SFTP by inputting the User ID with SSH Key</li><li>• Check the number of files (e.g. reports) in the folder remains unchanged as last business date</li><li>• Download one or two files (e.g. TP001, TP002) from the folder</li><li>• Log out once the above steps have been completed</li></ul>
<p>After completion of Session 2, CPs should keep the following primary IP addresses for production use on 17 April 2023 (Monday):</p> <p>10.151.14.121 port 10022</p> <p>10.151.14.122 port 10022</p>		