

SECTION 4.3

REPORT SAMPLE 4.3.1 : CCMPY01 POSTING / COLLATERALISATION RESULT REPORT

For HKSCC Participants:

(1) CCMPY01/CCMPY02	HKEX - CCMS		(2) RUN DATE : 09AUG12				
	POSTING / COLLATERALISATION RESULT REPORT		(3) RUN TIME : 19:35:02				
			PAGE : 1				
(4) CCMS DATE : 02NOV12							
(5) CLEARING HOUSE : HKSCC							
(6) PAYMENT BATCH NO : 0011							
(7) FIRM NAME :							
(8) PART NAME : ONG ASIA SEC HK							
(9) FIRM ID (10) PART ID (11) A/C TYPE (12) A/C NO (13) A/C STATUS							

BF01626 B01626 HSE 0001 A							

(14) COLLATERALISATION BATCH: 014571	COLLATERALISATION DATE: 02NOV12	START TIME: 19:13	END TIME: 19:13				
PRODUCT INVOLVED							
=====							
(15) PRODUCT CODE : CASH							
TRANSACTION SOURCE							
=====							
OBLIGATION COLLATERALISATION							

(10)/(16) PART ID/CUST A/C CODE	(15) PRODUCT	(17) OB/TXN	(18) CCY	(19) AMOUNT	(19a) ORG CCY	(19b) ORG AMOUNT	(19c) EX RATE
-----	-----	-----	-----	-----	-----	-----	-----
B01626	CASH	MARGN	CNY	0.00			
B01626	CASH	ODMKS	CNY	600,000.00			
B01626	CASH	PDMKS	CNY	1,000,000.00			
B01626	CASH	MARGN	HKD	0.00			
B01626	CASH	ODMKS	HKD	4,000,000.00			
B01626	CASH	PDMKS	HKD	5,000,000.00			
B01626	CASH	MARGN	USD	0.00			
B01626	CASH	ODMKS	USD	100,000.00			
B01626	CASH	PDMKS	USD	250,000.00			
MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS							
=====							
OBLIGATION COLLATERALISATION							

(18) CCY	(15) PRODUCT	(17) OB/TXN	(19) AMOUNT	(20) MULTIPLIED AMT	(20a) HKD EQUIVALENT	(20d) MIN COH AMT (CCY)	
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CNY	CASH	MARGN	0.00	0.00	0.00		
	CASH	ODMKS	600,000.00	600,000.00	727,200.00		
	CASH	PDMKS	1,000,000.00	1,000,000.00	1,212,000.00	(20b)	
				1,600,000.00	1,939,200.00	(SUB-TOTAL)	
						0.00	

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(1) CCMPY01/CCMPY02

HKEX - CCMS
POSTING / COLLATERALISATION RESULT REPORT

(2) RUN DATE : 09AUG12
(3) RUN TIME : 19:35:02
PAGE : 2

(4) CCMS DATE : 02NOV12
(5) CLEARING HOUSE : HKSCC
(6) PAYMENT BATCH NO : 0011

(7) FIRM NAME :
(8) PART NAME : ONG ASIA SEC HK

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01626	B01626	HSE	0001	A

(18) CCY	(15) PRODUCT	(17) OB/TXN	(19) AMOUNT	(20) MULTIPLIED AMT	(20a) HKD EQUIVALENT	(20d) MIN COH AMT (CCY)
HKD	CASH	MARGN	0.00	0.00	0.00	
	CASH	ODMKS	4,000,000.00	4,000,000.00	4,000,000.00	
	CASH	PDMKS	5,000,000.00	5,000,000.00	5,000,000.00	(20b)
				9,000,000.00	9,000,000.00	(SUB-TOTAL) 0.00
USD	CASH	MARGN	0.00	0.00	0.00	
	CASH	ODMKS	100,000.00	100,000.00	783,900.00	
	CASH	PDMKS	250,000.00	250,000.00	1,959,750.00	(20b)
				350,000.00	2,743,650.00	(SUB-TOTAL) 0.00
				(20c) TOTAL =	13,682,850.00	

LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT

ACCOUNT BALANCE SNAPSHOT BEFORE POSTING

CASH INVENTORY

(18) CCY	(21) AMOUNT (A)	(22) PEND SH DDI	(23) AMOUNT (B)	(24) OUTSD DR	(25) PEND SH DDI	(26) (A) + (B)
HKD	9,700,000.00	0.00	0.00	0.00	0.00	9,700,000.00

OBLIGATION COLLATERALISATION RESULT (NON-CASH COLLATERAL FIRST)

(18) CCY	(17) OB/TXN	(37) CONTRACT CURRENCY ON HOLD	(38) GENERAL COLLATERAL CASH	(39) EARMARKED VALUE NON-CASH	(40) SHORTFALL	(41) SHORTFALL PENDING FOR COLLECTION
CNY	MARGN	0.00	0.00	0.00	0.00	0.00
CNY	ODMKS	0.00	700,000.00	0.00	22,442.24	0.00
CNY	PDMKS	0.00	0.00	0.00	1,000,000.00	0.00
HKD	MARGN	0.00	0.00	0.00	0.00	0.00

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	POSTING / COLLATERALISATION RESULT REPORT				(3) RUN TIME : 19:35:02
					PAGE : 3
(4) CCMS DATE :	02NOV12				
(5) CLEARING HOUSE :	HKSCC				
(6) PAYMENT BATCH NO :	0011				
(7) FIRM NAME :					
(8) PART NAME :	ONG ASIA SEC HK				
(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS	
BF01626	B01626	HSE	0001	A	

(18) CCY	(17) OB/TXN	(37) CONTRACT CURRENCY ON HOLD	(38) GENERAL COLLATERAL CASH	(39) EARMARKED VALUE (HKD) NON-CASH	(40) SHORTFALL	(41) SHORTFALL PENDING FOR COLLECTION*
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HKD	ODMKS	4,000,000.00	0.00	0.00	0.00	0.00
HKD	PDMKS	5,000,000.00	0.00	0.00	0.00	0.00
USD	MARGN	0.00	0.00	0.00	0.00	0.00
USD	ODMKS	0.00	0.00	0.00	100,000.00	0.00
USD	PDMKS	0.00	0.00	0.00	250,000.00	0.00

ACCOUNT BALANCE SNAPSHOT AFTER POSTING

CASH INVENTORY							
(18)	-----CONTRACT CURRENCY ON HOLD-----			-----GENERAL CASH COLLATERAL-----			TOTAL-----
CCY	(21) AMOUNT (A)	(22) PEND SH DDI	(23) AMOUNT (B)	(24) OUTSD DR	(25) PEND SH DDI	(26) (A) + (B)	
HKD	9,000,000.00	3,982,849.00	700,000.00	0.00	0.00	9,700,000.00	

ACCOUNT TDMV/TEMV BALANCE

-----TOTAL DISCOUNTED MARKET VALUE-----			-----TOTAL EARMARKED VALUE-----		
(27) TDMV	(28) CASH TDMV	(29) NON-CASH TDMV	(30) TEMV	(31) CASH TEMV	(32) NON-CASH TEMV
700,000.00	700,000.00	0.00	700,000.00	700,000.00	0.00

PREFERRED SINGLE SETTLEMENT CURRENCY CONVERSION RESULT

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-----ORIGINAL CURRENCY-----		-----PREFERRED CURRENCY-----	
(43) CCY	(44) ORIGINAL AMOUNT	(45) CCY	(46) CONVERTED AMOUNT
CNY	1,022,442.24	HKD	1,239,199.00
USD	350,000.00	HKD	2,743,650.00

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                                                    POSTING / COLLATERALISATION RESULT REPORT    (3) RUN TIME : 19:35:02
                                                    PAGE : 4

(4) CCMS DATE      : 02NOV12
(5) CLEARING HOUSE : HKSCC
(6) PAYMENT BATCH NO : 0011

(7) FIRM NAME      :
(8) PART NAME      : ONG ASIA SEC HK
   (9)              (10)          (11)          (12)          (13)
   FIRM ID  PART ID  A/C TYPE  A/C NO  A/C STATUS
   -----  -----  -----  -----  -----
BF01626   B01626   HSE      0001   A

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(47)
MARKS AND MARGIN TO BE COLLECTED
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(48)
CCY      TOTAL AMT
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HKD      3,982,849.00

REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.

FOOTNOTE: ODMKS - DUE/OVERDUE MARKS
          PDMKS - PENDING MARKS
          MARGN - MARGIN
          * "SHORTFALL PENDING FOR COLLECTION" HAVE TAKEN INTO ACCOUNT THE TOLERANCE LIMIT FOR INTRA-DAY MARKS AND
            COLLATERAL , IF APPLICABLE.

*** END OF REPORT ***
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