

(1) CCMPY02

HKEX - CCMS
POSTING / COLLATERALISATION RESULT REPORT
(1a) (DAY-END)

(2) RUN DATE : 03SEP12
(3) RUN TIME : 19:52:32
PAGE : 1

(4) CCMS DATE : 05FEB13
(5) CLEARING HOUSE : SEOCH
(6) PAYMENT BATCH NO : 0009

(7) FIRM NAME : ABC SECS LTD
(8) PART NAME : ABC SECURITIES LTD

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2			

DDI / DCI SUMMARY

DIRECT DEBIT INSTRUCTION TO BE COLLECTED

(18) CCY	(11) A/C TYPE	(12) A/C NO	(48) TOTAL AMT	(54) SHORTFALL DDI	(55) EOT DDI
HKD	HSE	0001	879,400.00	388,300.00	491,100.00
			879,400.00	388,300.00	491,100.00

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(5) CLEARING HOUSE : SECH
(6) PAYMENT BATCH NO : 0009

(7) FIRM NAME : ABC SECS LTD
(8) PART NAME : ABC SECURITIES LTD

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2	CLT	0001	A

(14) COLLATERALISATION BATCH: 014705 COLLATERALISATION DATE: 05FEB13 START TIME: 19:45 END TIME: 19:46

PRODUCT INVOLVED

(15) PRODUCT CODE : RSO SOM

TRANSACTION SOURCE

OBLIGATION COLLATERALISATION

(10) PART ID/CUST	(16) A/C CODE	(15) PRODUCT	(17) OB/TXN	(18) CCY	(19) AMOUNT	(19a) ORG CCY	(19b) ORG AMOUNT	(19c) EX RATE
HKCABC P1		SOM	MGN	HKD	1,080,000.00			

MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS

OBLIGATION COLLATERALISATION

(18) CCY	(15) PRODUCT	(17) OB/TXN	(19) AMOUNT	(20) MULTIPLIED AMT	(20a) HKD EQUIVALENT	(20d) MIN COH AMT (CCY)
HKD	SOM	MGN	1,080,000.00	1,080,000.00	1,080,000.00	
				1,080,000.00	1,080,000.00 (20b) (SUB-TOTAL)	540,000.00
				(20c) TOTAL =	1,080,000.00	

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(7) FIRM NAME : ABC SECS LTD
(8) PART NAME : ABC SECURITIES LTD

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2	CLT	0001	A

LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT

ACCOUNT BALANCE SNAPSHOT BEFORE POSTING

CASH INVENTORY

(18) CCY	(21) CONTRACT CURRENCY ON HOLD AMOUNT (A)	(22) PEND SH DDI	(23) AMOUNT (B)	(24) GENERAL CASH COLLATERAL OUTSD DR	(25) PEND SH DDI	(26) TOTAL (A) + (B)
HKD	1,080,000.00	0.00	1,427,108.39	0.00	0.00	2,507,108.39

ACCOUNT TDMV/TEMV BALANCE

(27) TDMV	(28) TOTAL DISCOUNTED MARKET VALUE CASH TDMV		(29) NON-CASH TDMV	(30) TEMV	(31) TOTAL EARMARKED VALUE CASH TEMV		(32) NON-CASH TEMV
1,427,108.39	1,427,108.39	0.00	0.00	0.00	0.00	0.00	0.00

OBLIGATION COLLATERALISATION RESULT (CONTRACT CURRENCY FIRST)

(18) CCY	(17) OB/TXN	(37) CONTRACT CURRENCY ON HOLD	(38) GENERAL COLLATERAL CASH	(39) EARMARKED VALUE (HKD) NON-CASH	(40) SHORTFALL	(41) SHORTFALL PENDING FOR COLLECTION
HKD	MGN	1,080,000.00	0.00	0.00	0.00	0.00

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(7) FIRM NAME : ABC SECS LTD
(8) PART NAME : ABC SECURITIES LTD

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2	CLT	0001	A

ACCOUNT BALANCE SNAPSHOT AFTER POSTING

CASH INVENTORY

(18) CCY	(21) CONTRACT AMOUNT (A)	(22) CURRENCY ON HOLD PEND SH DDI	(23) AMOUNT (B)	(24) GENERAL CASH COLLATERAL OUTSD DR	(25) AMOUNT (B) PEND SH DDI	(26) TOTAL (A) + (B)
HKD	1,080,000.00	0.00	1,427,108.39	0.00	0.00	2,507,108.39

ACCOUNT TDMV/TEMV BALANCE

(27) TDMV	(28) CASH TDMV	(29) NON-CASH TDMV	(30) TEMV	(31) CASH TEMV	(32) NON-CASH TEMV
1,427,108.39	1,427,108.39	0.00	0.00	0.00	0.00

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BF01234	HKABC2	HSE	0001	A

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PRODUCT INVOLVED

(15) PRODUCT CODE : RSO SOM

TRANSACTION SOURCE

LEDGER POSTING

(10) PART ID/CUST	(16) A/C CODE	(15) PRODUCT	(17) OB/TXN	(18) CCY	(19) AMOUNT	(19a) ORG CCY	(19b) ORG AMOUNT	(19c) EX RATE
HKCABC A1		SOM	FEES	HKD	-4,400.00			
HKCABC A1		SOM	PREM	HKD	-600,000.00			

OBLIGATION COLLATERALISATION

(10) PART ID/CUST	(16) A/C CODE	(15) PRODUCT	(17) OB/TXN	(18) CCY	(19) AMOUNT	(19a) ORG CCY	(19b) ORG AMOUNT	(19c) EX RATE
HKCABC A1		SOM	MGN	HKD	776,600.00			

MERGED AND NETTED PRE-POSTING LEDGERS / OBLIGATIONS

LEDGER POSTING

(18) CCY	(17) OB/TXN	(15) PRODUCT	(19) AMOUNT
HKD	FEES	SOM	-4,400.00
	PREM	SOM	-600,000.00
			-604,400.00 (20b)
			(SUB-TOTAL)

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(8) PART NAME : ABC SECURITIES LTD

(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2	HSE	0001	A

EOT SPECIAL-USE PLEDGING RESULT

(56) CCY	(57) SU CODE	(58) REQ PLEDGING AMT	(59) ACTUAL PLEDGED AMT	(60) DDI AMT	(61) CONVERTED AMT IN HKD	(62) FINAL DDI AMT PER CCY
HKD	EMG	1,250,000.00	758,900.00	491,100.00	0.00	491,100.00
	EMK	1,000,000.00	1,000,000.00	0.00	(20b) 0.00	0.00
		2,250,000.00	1,758,900.00	491,100.00	(SUB-TOTAL)	491,100.00

OBLIGATION COLLATERALISATION

(18) CCY	(15) PRODUCT	(17) OB/TXN	(19) AMOUNT	(20) MULTIPLIED AMT	(20a) HKD EQUIVALENT	(20d) MIN COH AMT (CCY)
HKD	SOM	MGN	776,600.00	776,600.00	776,600.00 (20b)	
				776,600.00	776,600.00 (SUB-TOTAL)	388,300.00
				(20c) TOTAL =	776,600.00	

LEDGER POSTING / OBLIGATION COLLATERALISATION RESULT

ACCOUNT BALANCE SNAPSHOT BEFORE POSTING

CASH INVENTORY

(18) CCY	CONTRACT CURRENCY ON HOLD		GENERAL CASH COLLATERAL			TOTAL
	(21) AMOUNT (A)	(22) PEND SH DDI	(23) AMOUNT (B)	(24) OUTSD DR	(25) PEND SH DDI	(26) (A) + (B)
CNY	0.00	0.00	5,000,000.00	0.00	0.00	5,000,000.00
HKD	226,600.00	0.00	2,136,700.00	0.00	0.00	2,363,300.00

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(9) FIRM ID	(10) PART ID	(11) A/C TYPE	(12) A/C NO	(13) A/C STATUS
BF01234	HKABC2	HSE	0001	A

ACCOUNT TDMV/TEMV BALANCE

TOTAL DISCOUNTED MARKET VALUE			TOTAL EARMARKED VALUE		
(27) TDMV	(28) CASH TDMV	(32) NON-CASH TDMV	(30) TEMV	(31) CASH TEMV	(32) NON-CASH TEMV
8,076,700.00	8,076,700.00	0.00	0.00	0.00	0.00

LEDGER POSTING RESULT

(18) CCY	(15) PRODUCT	(17) OB/TXN	(34) DIRECT DEBIT / CREDIT RESULT	(35) NET OUT DB RESULTED
HKD	SOM	FEES	-4,400.00	0.00
	SOM	PREM	-600,000.00	0.00 (20b)
			-604,400.00	0.00 (SUB-TOTAL)

OBLIGATION COLLATERALISATION RESULT (CONTRACT CURRENCY FIRST)

(18) CCY	(17) OB/TXN	(37) CONTRACT CURRENCY ON HOLD	(38) GENERAL COLLATERAL CASH	(39) EARMARKED VALUE (HKD) NON-CASH	(40) SHORTFALL	(41) SHORTFALL PENDING FOR COLLECTION
HKD	MGN	0.00	388,300.00	0.00	388,300.00	0.00

ACCOUNT BALANCE SNAPSHOT AFTER POSTING

CASH INVENTORY

(18) CCY	CONTRACT CURRENCY ON HOLD		GENERAL CASH COLLATERAL			TOTAL
	(21) AMOUNT (A)	(22) PEND SH DDI	(23) AMOUNT (B)	(24) OUTSD DR	(25) PEND SH DDI	(26) (A) + (B)
CNY	0.00	0.00	5,000,000.00	0.00	0.00	5,000,000.00
HKD	0.00	388,300.00	0.00	0.00	0.00	0.00

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BF01234	HKABC2	HSE	0001	A

ACCOUNT TDMV/TEMV BALANCE

TOTAL DISCOUNTED MARKET VALUE			TOTAL EARMARKED VALUE		
(27) TDMV	(28) CASH TDMV	(29) NON-CASH TDMV	(30) TEMV	(31) CASH TEMV	(32) NON-CASH TEMV
5,940,000.00	5,940,000.00	0.00	388,300.00	388,300.00	0.00

Sub-section below only applicable to HKCC / SEOCH Participant who has chosen selected currency to settle margin shortfall.

PREFERRED SETTLEMENT CURRENCY CONVERSION RESULT

CONTRACT CURRENCY		PREFERRED CURRENCY	
(63)	(64)	(63)	(64)
CCY	SHORTFALL AMOUNT BEFORE CONVERSION	CCY	CONVERTED AMOUNT
HKD	100,000.00	CNY	80,000.00

DIRECT DEBIT INSTRUCTION TO BE COLLECTED ON NEXT TRADE DAY

(18) CCY	(54) SHORTFALL DDI
HKD	388,300.00

REMARKS: FOR A FULL LIST OF DIRECT DEBIT INSTRUCTIONS, REFER TO ENQUIRE COLLATERAL ACCOUNT BALANCE FUNCTION.

*** END OF REPORT ***