

NON-CASH COLLATERAL ACCOUNT TRANSFER INSTRUCTION ACTIVITY REPORT

Report ID : CCMAT02

Report Name : *Non-Cash Collateral Account Transfer Instruction Activity Report*

Purpose : The *Non-Cash Collateral Account Transfer Instruction Activity Report* lists non-cash collateral account transfer instruction input activities (including add, delete and authorise instructions). It shows details of participant instructions that are validated, approved, rejected or purged by HKEX or CCMS during the day. The report has two sections: Activity and Summary of Activity.

Time available : at the beginning of each business day and Saturday from 9:00 a.m.

Frequency : Daily

This key refers to the *Non-Cash Collateral Account Transfer Instruction Activity Report* sample 4.3.8.

Field Description :

X-Ref	Field Name	Description
(1)	CCMAT02	Report ID for <i>Non-Cash Collateral Account Transfer Instruction Activity Report</i>
(2)	RUN DATE	Date of running the report
(3)	RUN TIME	Time of running the report
(4)	CCMS DATE	Date of activities
(5)	FIRM NAME	Name of the firm (or the holding company of related participants)
(6)	PART NAME	Participant Name (in CCMS)
(7)	FIRM ID	Firm identity assigned for linking multiple related participants
(8)	PART ID	Participant identity assigned
(9)	ACT TYP / ACTION TYPE	Action Type: A - Add C - Change D - Delete J - Reject (by HKEX) P - Purge (by system) R - Approve U - Authorise (by HKEX) V - Validate (by HKEX)
(10)	IMG TYP	Image Type: A - After B - Before
(11)	TRANSFER ID	Unique reference assigned by CCMS for the non-cash collateral account transfer instruction
(12a)	A/C LVL	Account level of the collateral account which the non-cash collateral was transferred from / to
(12b)	A/C TYP	Type of account of the collateral account which the non-cash collateral was transferred from / to
(12c)	A/C NO	The account number of the collateral account which the non-cash collateral was transferred from / to

X-Ref	Field Name	Description
(13)	CCY	Currency code of the non-cash collateral involved in the transfer
(14)	TRANSFER QUANTITY	Quantity of non-cash collateral involved
(15)	S	Status of cash collateral transfer instruction: A - Approved P - Pending R - Rejected U - Authorised V - Validated
(16)	BG SEQ. NO.	Unique reference assigned by CCMS for the Bank Guarantee
(17)	UPDATED BY	User ID or internal reference who performed the activity
(18)	TIME	Time the activity was performed
(19)	STK CODE	Stock code of the CCASS stock collateral involved
(20)	DEPO CODE	Depository code of where the non-cash collateral is kept CDP -- CCASS Central Depository CLS -- Clearstream Banking CMU -- Central Moneymarket Unit of HKMA N/A -- HKEX or its Clearing Houses
(21)	ISIN	The International Securities Identification Number of the securities (non-CCASS stock or Bond)
(22)	TOTAL	Total number of instructions added / changed / deleted / authorised / validated / approved / rejected / purged