

## CASH COLLATERAL DEPOSIT/WITHDRAWAL ORDER ACTIVITY REPORT

- Report ID** : CCMDW01
- Report Name** : *Cash Collateral Deposit/Withdrawal Order Activity Report*
- Purpose** : The *Cash Collateral Deposit/Withdrawal Order Activity Report* lists cash collateral deposit and withdrawal order input activities (including add, delete and authorise orders). It shows details of participant orders that are validated, approved, rejected or purged by HKEX or CCMS during the day. The report has two sections: Activity and Summary of Activity.
- Time available** : at the beginning of each business day and Saturday from 9:00 a.m.
- Frequency** : Daily

This key refers to the *Cash Collateral Deposit/Withdrawal Order Activity Report* sample 4.3.9.

**Field Description :**

| X-Ref | Field Name      | Description  |
|-------|-----------------|--|
| (1)   | CCMDW01         | Report ID for <i>Cash Collateral Deposit/Withdrawal Order Activity Report</i>  |
| (2)   | RUN DATE        | Date of running the report   |
| (3)   | RUN TIME        | Time of running the report   |
| (4)   | CCMS DATE       | Date of activities   |
| (5)   | FIRM NAME       | Name of the firm (or the holding company of related participants)  |
| (6)   | PART NAME       | Participant Name (in CCMS)   |
| (7)   | FIRM ID         | Firm identity assigned for linking multiple related participants   |
| (8)   | PART ID         | Participant identity assigned  |
| (9)   | ACTION TYPE     | Action Type:<br>A - Add<br>C - Change<br>D - Delete<br>J - Reject (by HKEX)<br>P - Purge (by system)<br>R - Approve<br>U - Authorise (by HKEX) |
| (10)  | IMAGE TYPE      | Image Type:<br>A - After<br>B - Before   |
| (11)  | DEP/WTDL IND    | Indicate whether it is a deposit order (D) or withdrawal order (W)   |
| (12)  | DEP/WTDL ID     | Unique reference assigned by CCMS for the deposit or withdrawal order  |
| (13)  | AC TYPE         | Account type of the collateral account involved in the deposit / withdrawal order  |
| (13a) | A/C NO          | Account number of the collateral account involved in the deposit / withdrawal order  |
| (14)  | A/C USG         | Usage of the cash collateral to be deposited or withdrawn  |
| (15)  | DEP/WTDL AMOUNT | Money amount involved  |
| (16)  | CCY             | Currency code of the cash collateral involved  |
| (17)  | STATUS          | Status of cash collateral deposit/withdrawal order:<br>A - Approved<br>P - Pending<br>R - Rejected<br>U - Authorised                           |

| <b>X-Ref</b> | <b>Field Name</b> | <b>Description</b>   |
|--------------|-------------------|--|
| (18)         | UPDATED BY        | User ID or internal reference who performed the activity                                     |
| (19)         | TIME              | Time the activity was performed  |
| (20)         | TOTAL             | Total number of orders added / changed / deleted / authorised / approved / rejected / purged |