

Special Enquire Functions

FUNCTION NAME:ENQUIRE SPECIFIC CASH COLLATERAL MOVEMENT

WHEN TO USE:

- To enquire on the specific cash collateral movement activities for any collateral account upto the past 30 calendar days.

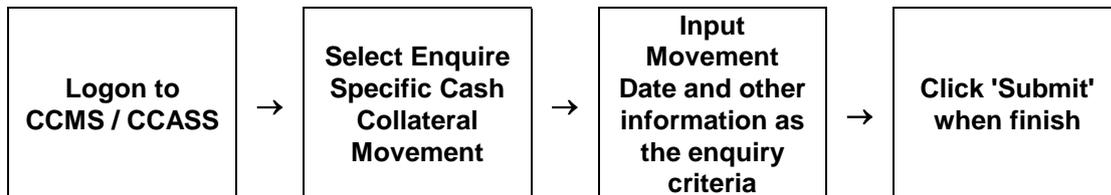
AVAILABLE FUNCTION TIME:

- Available from 9:00 a.m. to 7:00 p.m., Monday to Friday (except holiday).

FUNCTIONAL DESCRIPTION:

- This is an enquiry function. It is used to view information only. Data cannot be entered or changed with this function.
- The function allows enquiry on specific cash collateral movements for a particular stock on the selected day.

The access path for Enquire Specific Cash Collateral Movement is:



ENQUIRE SPECIFIC CASH COLLATERAL MOVEMENT - Sample Screens

<ul style="list-style-type: none"> Mtn General To Specific Cash Collateral CCMS Files Authorization CCMS File Upload Mtn Specific to General Stock Collateral Mtn Specific Cash To General Collateral Confirm for Payment Maintain Margin Requirement Maintain Payment Summary Mtn Preferred Single Stm Currency Enquire Payment Batch Status Confirm Payment Receipt Status Enquire Collateral Account Balance Enq Specific Cash Collateral Movement Enquire Collateral Inventory Enq Coll Acct to Trans Acct Relationship Maintain Bank Master Mtn Stock/Qty Mapping and Conversion Mtn Bond/Stock Coll Valuation Parameters Mtn Currency Exchange Rate and Haircut Mtn Curr Interest and Retention Rate Part Submitted Deposit/Withdrawal Order Mtn CCMS-To-CCASS Cash Transfer Mtn CCMS-To-CCASS Stock Transfer Mtn Cash Collateral A/C Transfer Instr Mtn Non-Cash Coll A/C Transfer Instr Maintain EOT Collateral for Transfer 	<div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="background-color: #0056b3; color: white; padding: 5px; border-radius: 3px;">Enquire Specific Cash Collateral Movement</div> <div style="text-align: right;"> Site Map Broadcast Message Report Download Announcement Information Change Password Print Logout </div> </div> <div style="border-top: 1px solid black; padding-top: 5px;"> <p style="text-align: right; margin: 0;">B0047301 KCGE 02 02-Aug-12 17:12</p> <p style="margin: 0;">Enquire Specific Cash Collateral Movement Detail</p> <p>Event Date : 25-SEP-12</p> <p>Firm ID : BF00473</p> <p>Participant ID : B00473</p> <p>Account Type : House</p> <p>Account Number : 0001</p> <p>ISIN or Stock Code : KYG5213A1022 / 318</p> <p>Currency : HKD</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: 8px;"> <thead> <tr> <th>Coll Usage</th> <th>Date & Time</th> <th>MV Type</th> <th>On-Hold SC</th> <th>Free SC</th> <th>Pending SC</th> <th>SC Collected</th> <th>Trade Date</th> <th>Settlement Date</th> <th>Tran Ref</th> <th>Remarks</th> <th>Update By</th> </tr> </thead> <tbody> <tr> <td>SPC</td> <td>31-Jul-12 15:08</td> <td>SO</td> <td>0.00</td> <td>0.00</td> <td>1,000.00</td> <td>0.00</td> <td>25-SEP-12</td> <td>03-OCT-12</td> <td></td> <td>SPECIFIC CASH CPI GENERATED</td> <td>PBCMCH03</td> </tr> <tr> <td>SPC</td> <td>31-Jul-12 16:00</td> <td>SM</td> <td>0.00</td> <td>1,000.00</td> <td>-1,000.00</td> <td>1,000.00</td> <td>25-SEP-12</td> <td>03-OCT-12</td> <td>0029</td> <td>SPECIFIC CASH CPI CONFIRMED</td> <td>PBCMFC03</td> </tr> <tr> <td>SPC</td> <td>31-Jul-12 19:39</td> <td>CO</td> <td>0.00</td> <td>0.00</td> <td>280,500.00</td> <td>0.00</td> <td>25-SEP-12</td> <td>03-OCT-12</td> <td></td> <td>SPECIFIC CASH DDI GENERATED</td> <td>PBCMML10</td> </tr> <tr> <td>SPC</td> <td>31-Jul-12 20:33</td> <td>CQ</td> <td>0.00</td> <td>-1,000.00</td> <td>0.00</td> <td>0.00</td> <td>25-SEP-12</td> <td>03-OCT-12</td> <td>0000000027151</td> <td>REFUND FREE SPECIFIC CASH</td> <td>PBCMGP14</td> </tr> </tbody> </table> <p style="text-align: center; margin-top: 5px;">Enquire Another Record</p> </div> </div>	Coll Usage	Date & Time	MV Type	On-Hold SC	Free SC	Pending SC	SC Collected	Trade Date	Settlement Date	Tran Ref	Remarks	Update By	SPC	31-Jul-12 15:08	SO	0.00	0.00	1,000.00	0.00	25-SEP-12	03-OCT-12		SPECIFIC CASH CPI GENERATED	PBCMCH03	SPC	31-Jul-12 16:00	SM	0.00	1,000.00	-1,000.00	1,000.00	25-SEP-12	03-OCT-12	0029	SPECIFIC CASH CPI CONFIRMED	PBCMFC03	SPC	31-Jul-12 19:39	CO	0.00	0.00	280,500.00	0.00	25-SEP-12	03-OCT-12		SPECIFIC CASH DDI GENERATED	PBCMML10	SPC	31-Jul-12 20:33	CQ	0.00	-1,000.00	0.00	0.00	25-SEP-12	03-OCT-12	0000000027151	REFUND FREE SPECIFIC CASH	PBCMGP14
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DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
EVENT DATE	<ul style="list-style-type: none"> - input the date to enquire on its collateral account movement activities. - the date can be as early as 30 calendar days ago. - valid date must be typed in one of the following formats: '22Oct12', '221012', '22-10-12' or '22/10/12'.
FIRM ID	<ul style="list-style-type: none"> - firm identity assigned by HKEX for linking multiple participants of the clearing houses.
PARTICIPANT ID	<ul style="list-style-type: none"> - participant identity assigned by HKEX.
ACCOUNT TYPE	<ul style="list-style-type: none"> - select the type of collateral account via pull down menu. - can be 'HOUSE', 'CLIENT' or 'MARKET MAKER'. - must be 'HOUSE' for CCASS participant.
ACCOUNT NUMBER	<ul style="list-style-type: none"> - input the account number of participant's collateral account of the account type on which details are going to be enquired. - must be '0001' for CCASS participant's 'HOUSE' collateral account.

<u>Field</u>	<u>Description/Format</u>
ISIN OR STOCK CODE	- input either the ISIN or stock code on which movement activities of the specific cash collateral are going to be enquired.
CURRENCY	- currency code of the ISIN or stock code input.
COLL USAGE	- display the usage of the collateral. - must be 'SPC' which means the specific cash collateral.
DATE & TIME	- display the movement date and the movement time.
MV TYPE	- display the type of movement activity CJ -- Specific Cash DDI Rejected CM -- Specific Cash DDI Confirmed CO -- Specific Cash DDI Generated CP -- Transfer On-hold Specific Cash CQ -- Refund Free Specific Cash SF -- Add General To Specific Cash SG -- Add Specific Cash To General SJ -- Specific Cash CPI Rejected SM -- Specific Cash CPI Confirmed SO -- Specific Cash CPI Generated
ON-HOLD SC	- display the confirmed on-hold specific cash collateral amount.
FREE SC	- display the confirmed free specific cash collateral amount.
PENDING SC	- display the on-hold and free specific cash collateral amount pending for collection.
SC COLLECTED	- display the collected amount of specific cash collateral.
TRADE DATE	- display the trade date of the stock.
SETTLEMENT DATE	- display the settlement date of the stock.
TRAN REF	- display the transaction reference number of the movement activity being enquired.
REMARKS	- display the remarks of the movement activity.
UPDATE BY	- display the ID of the user who performed the collateral movement activity.