Reporting Functions

LIST OF REPORTS AND AVAILABILITY SCHEDULE

No.	Report ID	Report Name	Market#	ONRD@	Freq	Time Available
1.	CCMPY01	*Posting / Collateralisation Result Report*	GLOBAL	Y	Shortly after each intra-day collateralisation run	For HKSCC, after the completion of scheduled intra-day marks, intra-day margin or ad-hoc marks batch processing run (if any) For HKCC or SEOCH, after the ad-hoc intra-day margin calls (if any)
2.	CCMPY02	*Posting / Collateralisation Result Report*	GLOBAL	Y	Shortly after each day-end collateralisation run	For HKSCC, after the completion of scheduled day-end marks and margin batch processing run For HKCC or SEOCH, after the day-end batch processing run
3.	CCMCA02	*Collateral Account Balance Report*	GLOBAL	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day-end's collateral account balances)
4.	CCMMV01	*Participant Collateral Account Movement Report*	GLOBAL	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's collateral movements)
5.	CCMSU04	*Special-Use Collateral Balance Report*	GLOBAL	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day-end's balances)
6.	CCMIR02	*Collateral Parameters Information List*	GLOBAL	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's collateral parameters e.g. interest rate, exchange rate etc)
7.	CCMIA02	*Monthly Interest & Accommodation Fee Report - Detail*	GLOBAL	Y	Monthly	After 9:00 a.m. on the first business day of each month
8.	CCMAT01	*Collateral Account Transfer Instruction Activity Report - Cash*	GLOBAL*	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's activities)
9.	CCMAT02	*Collateral Account Transfer Instruction Activity Report - Non-Cash*	GLOBAL*	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's activities)
10.	CCMDW01	*Cash Collateral Deposit / Withdrawal Order Activity Report*	GLOBAL*	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's activities)

No.	Report ID	Report Name	Market#	ONRD@	Freq	Time Available
11.	CCMDW02	*Non-Cash Collateral Deposit / Withdrawal Order Activity Report*	GLOBAL*	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's activities)
12.	CCMDS01	*Statement of Collateral Account*	GLOBAL	Y	Daily	At the beginning of each business day and Saturday from 9:00 a.m. (for previous day's collateral balances and movement activities)
13.	CCMSC01	*Specific Cash Collateral Instruction Activity Report*	GLOBAL	Y	Daily	From the time when the report download function is available on each business day and Saturday (for previous day's specific cash collateral instruction activities)
14.	CCMPS01	*Maintain Preferred Single Settlement Currency Activity Report*	GLOBAL	Y	Daily	From the time when the report download function is available on each business day and Saturday (for previous day's specific cash collateral instruction activities)
15.	CCMSS05	*Collateral Security Batch Input Control Report*	GLOBAL	N	After each SSC batch validation	shortly after completion of each SSC batch validation run scheduled at around 10:00 a.m., 12:45p.m., 5:00 p.m. and 6:00 p.m. (for status of SSC batch file uploads, if any)
16.	CCMIA12	*Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shanghai)*	MAMK	Y	Quarterly	after 9:00 a.m. on the first Business Day of each quarter
17	CCMIA14	*Quarterly Interest Report for Mainland Settlement Deposit and Mainland Security Deposit (Shenzhen)*	SZMK	Y	Quarterly	after 9:00 a.m. on the first Business Day of each quarter

Remarks :

\#   "Market" is only applicable to relevant CCASS reports. CCASS supports Multiple Market Infrastructure, and produces market-specific reports for users' reference. Currently there are three markets - HONG KONG market with market code as "HKMK", Shanghai securities market with market code as "MAMK" and Shenzhen securities market with market code as "SZMK". However, some processes apply to all markets (global processes, e.g., collateralisation) and thus do not have relevant market-specific reports. CCMS functions are classified as global processes and therefore all CCMS reports are classified as "GLOBAL" reports.

@   "Y" indicates that the report is available for downloading / printing via Overnight Report Distribution Function (ONRD)
"N" indicates that the report is NOT available for downloading / printing via Overnight Report Distribution Function, Participants should retrieve such report via Report Download Function.

*   CCMAT01, CCMAT02, CCMDW01 and CCMDW02 are only applicable for HKCC and SEOCH Participants.