

Terminal Operations

FUNCTION NAME: **PARTICIPANT SUBMITTED DEPOSIT/ WITHDRAWAL ORDER MAINTENANCE**

WHEN TO USE:

- HKCC and SEOCH Participants can use the participant submitted deposit/ withdrawal order maintenance function to reject authorised cash collateral deposit/ withdrawal order.

AVAILABLE MAINTENANCE FUNCTIONS:

- Reject Deposit/ Withdrawal Order
To reject authorised cash collateral deposit/ withdrawal order.

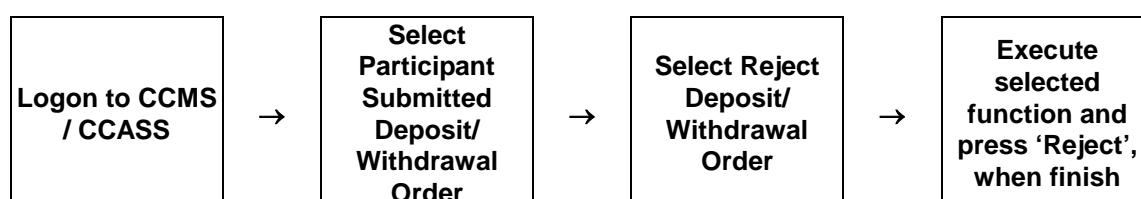
AVAILABLE FUNCTION TIME:

- The participant submitted deposit/ withdrawal order maintenance must be performed between 9:00 a.m. and 11:00 a.m. Monday to Friday (except holidays).

FUNCTIONAL DESCRIPTIONS:

- Only HKCC and SEOCH Participant users can reject authorised Cash Collateral Deposit Order via CCMS or CCASS terminal.
- Cash collateral orders authorised but before processing by HKEX on each day can be rejected. The status of order after successfully rejected will be updated to "Rejected".
- Multiple reject is available for this function.
- For Cash Collateral Withdrawal Order, the on-hold amount originally on-hold by CCMS will be released to participants after the order is rejected by participants.
- ENQUIRE DEPOSIT/WITHDRAWAL ORDER can be used to view the details and current status of Cash Collateral Deposit Orders after rejection. Data cannot be entered or changed with this function.

The access path for the cash collateral deposit order maintenance function is:



PARTICIPANT SUBMITTED DEPOSIT/ WITHDRAWAL ORDER MAINTENANCE – Sample Screen:

Order
Mtn CCASS-To-CCMS Stock Transfer
Request Collateral Revaluation
Confirm for Posting/Collateralisation
Enquire Posting/Collateralisation Status
Maintain Manual Posting
Mtn General to Specific Stock Collateral
Mtn Specific to General Stock Collateral
Confirm for Payment
Enquire Payment Batch Status
Confirm Payment Receipt Status
Enquire Collateral Account Balance
Enquire Collateral Inventory
Enq Coll Acct to Trans Acct Relationship
Maintain Bank Master
Mtn Bond/Stock Coll Valuation Parameters
Mtn Currency Exchange Rate and Haircut
Mtn Curr Interest and Reten
Reject Deposit / Part Sub Withdrawal Order
Deposit/Withdrawal Order
Mtn CCMS-To-CCASS Cash Transfer
Mtn CCMS-To-CCASS Stock Transfer

Participant Submitted Deposit/Withdrawal Order

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19-Mar-08 09:46

Reject Submitted Cash Collateral Deposit/Withdrawal Order Prompt

Deposit / Withdrawal : ☒ Deposit ☐ Withdrawal

Order ID :

Instrument Group : Cash

Firm ID: BF03802

Participant ID: HKABC1

Account Type: ALL

Account Number :

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Status
Enquire Collateral Account Balance
Enquire Collateral Inventory
Enq Coll Acct to Trans Acct Relationship
Maintain Bank Master
Mtn Bond/Stock Coll Valuation Parameters
Mtn Currency Exchange Rate and Haircut
Mtn Curr Interest and Retention Rate
Part Submitted Deposit/Withdrawal Order
Mtn CCMS-To-CCASS Cash Transfer
Mtn CCMS-To-CCASS Stock Transfer
Mtn Cash Collateral A/C Transfer Instr
Mtn Non-Cash Coll A/C Transfer Instr
Maintain Special-Use Collateral
Collect Special-Use Cash Collateral
Enq Coll Effective Haircut & Val Price
Adjust Collateral Account Posting Result
Release Specific Stock Collateral
Release Contract Currency Collateral
Maintain Restricted DPL/CHARTER

Participant Submitted Deposit/Withdrawal Order

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19-Mar-08 10:05

Reject Submitted Cash Collateral Deposit Order List

Order Type: Deposit
Instrument Group: Cash

Select	Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status
<input type="checkbox"/>	20283	BF03802	HKABC1	HOUSE	0001	HONG KONG DOLLAR	100,000.00	Authorised

☐ Select All

[Reject Another Record](#) [Help](#)

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Section 7.1.9a Participant Submitted Deposit/ Withdrawal Maintenance

Status

Enquire Collateral Account Balance

Enquire Collateral Inventory

Enq Coll Acct to Trans Acct Relationship

Maintain Bank Master

Mtn Bond/Stock Coll Valuation Parameters

Mtn Currency Exchange Rate and Haircut

Mtn Curr Interest and Retention Rate

Part Submitted Deposit/Withdrawal Order

Mtn CCMS-To-CCASS Cash Transfer

Mtn CCMS-To-CCASS Stock Transfer

Mtn Cash Collateral A/C Transfer Instr

Mtn Non-Cash Coll A/C Transfer Instr

Maintain Special-Use Collateral

Collect Special-Use Cash Collateral

Enq Coll Effective Haircut & Val Price

Adjust Collateral Account Posting Result

Release Specific Stock Collateral

Release Contract Currency Collateral

Maintain Restricted PDL/CUATOL

Participant Submitted Deposit/Withdrawal Order

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KMEV 49

19-Mar-08 10:06

Reject Submitted Cash Collateral Deposit Order List Execution

Order Type

Instrument Group

Cash

Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status
20283	BF03802	HKABC1	HOUSE	0001	HKD	100,000.00	Rejected

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-7700 RECORD REJECTED SUCCESSFULLY

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Confirm for Posting/Collateralisation

Enquire Posting/Collateralisation Status

Maintain Manual Posting

Mtn General to Specific Stock Collateral

Mtn Specific to General Stock Collateral

Confirm for Payment

Enquire Payment Batch Status

Confirm Payment Receipt Status

Enquire Collateral Account Balance

Enquire Collateral Inventory

Enq Coll Acct to Trans Acct Relationship

Maintain Bank Master

Mtn Bond/Stock Coll Valuation Parameters

Mtn Currency Exchange Rate and Haircut

Mtn Curr Interest and Retention Rate

Part Submitted Deposit/Withdrawal Order

Mtn CCMS-To-CCASS Cash Transfer

Mtn CCMS-To-CCASS Stock Transfer

Mtn Cash Collateral A/C Transfer Instr

Mtn Non-Cash Coll A/C

Participant Submitted Deposit/Withdrawal Order

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HKABC102

KMEV 21

03-Apr-08 10:04

Reject Submitted Cash Collateral Withdrawal Order List

Order Type

Instrument Group

Cash

Select	Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status
<input type="checkbox"/>	20331	BF03802	HKABC1	HSE	0001	HKD	10,000.00	Authorised

☐ Select All

Reject

Refresh

Reject Another Record

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Section 7.1.9a

Participant Submitted Deposit/ Withdrawal Maintenance

Confirm for Posting/Collateralisation

Enquire Posting/Collateralisation Status

Maintain Manual Posting

Mtn General to Specific Stock Collateral

Mtn Specific to General Stock Collateral

Confirm for Payment

Enquire Payment Batch Status

Confirm Payment Receipt Status

Enquire Collateral Account Balance

Enquire Collateral Inventory

Enq Coll Acct to Trans Acct Relationship

Maintain Bank Master

Mtn Bond/Stock Coll Valuation Parameters

Mtn Currency Exchange Rate and Haircut

Mtn Curr Interest and Retention Rate

Part Submitted Deposit/Withdrawal Order

Mtn CCMS-To-CCASS Cash Transfer

Mtn CCMS-To-CCASS Stock Transfer

Mtn Cash Collateral A/C Transfer Instr

Mtn Non-Cash Coll A/C

Participant Submitted Deposit/Withdrawal Order

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HKABC102
KMEV 25
03-Apr-08 10:17

Reject Submitted Cash Collateral Withdrawal Order List Execution

Order Type: Withdrawal

Instrument Group: Cash

Order ID	Firm ID	Participant ID	Account Type	Account Number	Currency	Amount	Status
20331	BF03802	HKABC1	HSE	0001	HKD	10,000.00	Rejected

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DESCRIPTION OF FIELDS:

<u>Field</u>	<u>Description/Format</u>
DEPOSIT / WITHDRAWAL	<ul style="list-style-type: none"> - select the order type to be enquired (either Deposit or Withdrawal). - Mandatory field.
ORDER ID	<ul style="list-style-type: none"> - input the unique reference number assigned to the cash collateral order.
INSTRUMENT GROUP	<ul style="list-style-type: none"> - display the instrument group i.e. cash.
FIRM ID	<ul style="list-style-type: none"> - display the FIRM ID of the initiating participant.
PARTICIPANT ID	<ul style="list-style-type: none"> - display the Participant ID of the initiating participant.
ACCOUNT TYPE	<ul style="list-style-type: none"> - use the pull down menu to select the type of collateral account into which the cash collateral order will be rejected. - can be 'HOUSE' for collateral accounts of participant itself, 'CLIENT' for collateral accounts of participant's clients, or 'MARKET MAKER' for collateral accounts of participant's market makers.
ACCOUNT NUMBER	<ul style="list-style-type: none"> - input the account number of participant's collateral account of the account type into which cash collateral will be deposited.
CURRENCY	<ul style="list-style-type: none"> - display the currency code of the cash collateral order to be rejected.
ACCOUNT NAME	<ul style="list-style-type: none"> - display the name of the specified collateral account.
ACCOUNT STATUS	<ul style="list-style-type: none"> - display the current status of the specified collateral account.
AVAILABLE BALANCE	<ul style="list-style-type: none"> - display the cash collateral balance available for withdrawal. - display N/A for authorised cash collateral order. - only display for cash collateral withdrawal order.
AMOUNT	<ul style="list-style-type: none"> - input the cash amount to be deposited as cash collateral.
REMARK	<ul style="list-style-type: none"> - input the remark for the cash collateral deposit order, as required.