

Report 3 - Participant Collateral Account Movement Report

+ 1 + 2 + 3 + 4 + 5 + 6 + 7 + 8 + 9 + 0 + 1 + 2 + 3
 123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012

1 CCMMV01 HKEX - CCMS RUN DATE : DDMMYY
 2 PARTICIPANT COLLATERAL ACCOUNT MOVEMENT REPORT RUN TIME : HH:MM:SS
 3 PAGE : Z, ZZ9

4
 5 CCMS DATE : DDMMYY
 6
 7 FIRM NAME : XX
 8 PART NAME : XXXXXXXXXXXXXXXXXXXXXXX
 9
 10 FIRM PART ID A/C TYPE A/C NO
 11 -----
 12 XXXXXX XXXX XXX XXXX
 13
 14 CASH
 15 =====

| CCY | CU | TRAN DATE/TIME/ TRAN REF | TYPE / UPDATED BY | CONFIRMED AMT / REMARKS | OUTSD DB | PENDING DDI | DCI SENT | DDI COLLECTED |
|---|-----|--------------------------------|----------------------|---|---------------------|---------------------|---------------------|---------------------|
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| XXX | XXX | DDMMYY/HH:MM XXXXXXXXXXXXXX | XX XXXXXXXXXX | -ZZZ,ZZZ,ZZZ,ZZ9.99 XX | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 |
| XXX | XXX | DDMMYY/HH:MM XXXXXXXXXXXXXX | XX XXXXXXXXXX | -ZZZ,ZZZ,ZZZ,ZZ9.99 XX | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 |
| TOTAL MV BAL: -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 | | | | | | | | |
| XXX | XXX | DDMMYY/HH:MM XXXXXXXXXXXXXX | XX XXXXXXXXXX | -ZZZ,ZZZ,ZZZ,ZZ9.99 XX | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 |
| XXX | XXX | DDMMYY/HH:MM XXXXXXXXXXXXXX | XX XXXXXXXXXX | -ZZZ,ZZZ,ZZZ,ZZ9.99 XX | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 | -ZZZ,ZZZ,ZZZ,ZZ9.99 |
| TOTAL MV BAL: -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 -ZZZ,ZZZ,ZZZ,ZZ9.99 | | | | | | | | |

54 BANK GUARANTEE
 55 =====

| BANK CD | CCY | CU | TRAN DATE/TIME | TYPE | BG SEQ # | BG REF # | RM ALLOC AMOUNT | TRAN REF | UPDATED BY |
|---------|-----|-----|----------------|------|--------------|----------------|-----------------------|----------------|------------|
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| XXX | XXX | XXX | DDMMYY/HH:MM | XX | ZZZZZZZZZZZZ | XXXXXXXXXXXXXX | -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 | XXXXXXXXXXXXXX | XXXXXXXXXX |
| XXX | XXX | XXX | DDMMYY/HH:MM | XX | ZZZZZZZZZZZZ | XXXXXXXXXXXXXX | -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 | XXXXXXXXXXXXXX | XXXXXXXXXX |
| XXX | XXX | XXX | DDMMYY/HH:MM | XX | ZZZZZZZZZZZZ | XXXXXXXXXXXXXX | -Z,ZZZ,ZZZ,ZZZ,ZZ9.99 | XXXXXXXXXXXXXX | XXXXXXXXXX |

