

# SMARTCARD USER PROFILE LIST

CCASS-Form 92

To : Cash & Derivatives Clearing Operations  
 Hong Kong Exchanges and Clearing Limited  
 30/F, One Exchange Square, 8 Connaught Place, Central, Hong Kong

Date: \_\_\_\_\_

CCASS/HKCC/SEOCH Participant / Designated Bank ID : \_\_\_\_\_ Full Name : \_\_\_\_\_

Maintenance Request:      **A** = Add new User      **C** = Change User Profile      **D** = Delete User      **R** = Reset smartcard password

Please specify updated user profile details:

**A. General Details**

Maintenance Type	User Name	Effective Date	User Group(s)	Default Transaction Limit (HKD)	CHO
[A/C/D/R]	Surname      Other Name(s)	(DD/MM/YY)		<i>Note 1</i>	<i>Note 3</i>
1. [    ]	_____	_____	_____	_____	_____
2. [    ]	_____	_____	_____	_____	_____
3. [    ]	_____	_____	_____	_____	_____
4. [    ]	_____	_____	_____	_____	_____

**B. Individual Transaction Limit (HKD) *Note 2***

DI	DI Requirement	ISI	Recall Request	Cash Compensation Indicator	ATI	Cash Prepayment	SI
1. _____	_____	_____	_____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____	_____	_____	_____

**For CCASS Participant only**

*Note 1 : The amount entered should be 0.00 or a value greater than 0.00 or unlimited.*  
*Note 2 : Please complete Part B if the Default Transaction Limit of a user does not apply.*  
*Note 3 : Clearing House Option: N = Non-selected or C = CASH (for CCMS functions)*

**REASON(S) for requesting HKEX's execution :**

\_\_\_\_\_  
 \_\_\_\_\_

FOR & ON BEHALF OF

Tick whichever is appropriate

- \_\_\_\_\_ smartcard(s) is / are attached for reuse.  
 Order \_\_\_\_\_ new smartcard(s), total for HKD \_\_\_\_\_ (@HKD250.00).

1. For **CCASS Participants**, the above charges will be debited from your CCASS Money Ledger (Billing A/C 05).
2. For **HKCC / SEOCH Participants**, the above charges will be debited from your CCMS House Account.
3. For **CCASS Designated Banks**, please issue cheque payable to "Hong Kong Securities Clearing Company Limited".

\_\_\_\_\_  
 Authorised Signature(s) (with company chop, ONLY applicable if it forms part of your signing instruction)

**For Office Use Only**

Ref.No.: \_\_\_\_\_

User ID	Smartcard Serial Number	Password
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

Signature Verified by	Date
Prepared/Checked by	Date
Reviewed by	Date
Updated by	Date