

SMARTCARD USER PROFILE LIST

CCASS-Form 92

To : Clearing Operations – Operations Support & Security Administration
 Hong Kong Exchanges and Clearing Limited
 30/F, One Exchange Square, 8 Connaught Place, Central, Hong Kong

Date: _____

CCASS/HKCC/SEOCH Participant / Designated Bank ID : _____ Full Name : _____

Maintenance Request: **A** = Add new User **C** = Change User Profile **D** = Delete User **R** = Reset smartcard password

Please specify updated user profile details:

A. General Details

Maintenance Type [A/C/D/R]	User Name Surname Other Name(s)	Effective Date (DD/MM/YY)	User Group(s)	Default Transaction Limit (HKD) <i>Note 1</i>	CHO <i>Note 3</i>
1. []	_____	_____	_____	_____	_____
2. []	_____	_____	_____	_____	_____
3. []	_____	_____	_____	_____	_____
4. []	_____	_____	_____	_____	_____

B. Individual Transaction Limit (HKD) *Note 2*

DI	DI Requirement	ISI	Recall Request	Cash Compensation Indicator	ATI	Cash Prepayment	SI
1. _____	_____	_____	_____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____	_____	_____	_____

For CCASS Participant only

Note 1 : The amount entered should be 0.00 or a value greater than 0.00 or unlimited.
Note 2 : Please complete Part B if the Default Transaction Limit of a user does not apply.
Note 3 : Clearing House Option: N = Non-selected or C = CASH (for CCMS functions)

REASON(S) for requesting HKEX's execution :

FOR & ON BEHALF OF

Tick whichever is appropriate

- _____ smartcard(s) is / are attached for reuse.
 Order _____ new smartcard(s), total for HKD _____ (@HKD250.00).

1. For **CCASS Participants**, the above charges will be debited from your CCASS Money Ledger (Billing A/C 05).
2. For **HKCC / SEOCH Participants**, the above charges will be debited from your CCMS House Account.
3. For **CCASS Designated Banks**, please issue cheque payable to "Hong Kong Securities Clearing Company Limited".

 Authorised Signature(s) (with company chop, ONLY applicable if it forms part of your signing instruction)

For Office Use Only

Ref.No.: _____

User ID	Smartcard Serial Number	Password
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

Signature Verified by	Date
Prepared/Checked by	Date
Reviewed by	Date
Updated by	Date