

Reporting Functions

DDI/DCI/EPI Listing for Designated Bank

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| Report ID | : | CSEMS03 |
| Report Name | : | <i>DDI/DCI/EPI Listing for Designated Bank</i> |
| Purpose | : | The <i>DDI/DCI/EPI Listing for Designated Bank</i> provides a list of payment instructions of CCASS Participants (other than Investor participants) to Designated Banks for their credit assessment and funding preparation. It contains a list of Direct Debit Instructions (DDIs), Direct Credit Instructions (DCIs) and Electronic Payment Instructions (EPIs) of those CCASS Participants (other than Investor Participants) using the bank as their Designated Bank to settle their payment instructions in relation to CCASS transactions (i.e., CCASS money settlement instructions), such as Exchange Trades, Settlement Instructions and nominees related payments etc. for current business day for banks. The report available at (1) 7:15 a.m. will list those payments already cleared by HKICL but yet to be settled via HKICL's Morning Interbank Bulk Settlement Run at around 9:30 a.m.; (2) 4:05 p.m. will list those payments to be settled via HKICL's Evening Interbank Bulk Settlement Run at around 5:50 p.m. and (3) 8:05 p.m. will list the payments to be settled via HKICL's Night Interbank Bulk Settlement Run at around 9:25 p.m. |
| Section Content: | | <p><u>I. SUMMARY OF DDI/DCI/EPI - BY BRANCH (CCY)</u></p> <p>Summary of DDI/DCI/EPI of an eligible currency generated for each branch of the bank.</p> <p><u>II. SUMMARY OF DDI/DCI/EPI - BY ACCOUNT (CCY)</u></p> <p>Summary of DDI/DCI/EPI of an eligible currency generated per bank account for each branch of the bank.</p> <p><u>IIIa. DIRECT DEBIT / CREDIT INSTRUCTION (CCY)</u></p> <p>List of DDI / DCI of an eligible currency generated for each branch of the bank</p> <p><u>IIIb. ELECTRONIC PAYMENT INSTRUCTION (CCY)</u></p> <p>List of EPI of an eligible currency generated for each branch of the bank</p> |
| Special case | : | If there is no DDI/DCI/EPI for the bank, an empty report will be generated with a message ' NO DATA AVAILABLE FOR THIS REPORT '. |
| Time available | : | shortly after 4:05 p.m. and 8:05 p.m. (Mondays to Fridays) and 7:15 a.m. of each business day and Saturday |
| Frequency | : | Three times Daily (Mondays to Fridays, except Public Holidays and Typhoon/ Rainstorm days) |

Section 4.3
Report Description

This key refers to the *DDI/DCI/EPI Listing for Designated Bank* report sample.

Field Description:

| X-Ref | Field Name | Description |
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| (1) | CSEMS03 | Report ID for <i>DDI/DCI/EPI Listing for Designated Bank</i> |
| (2) | (variable) | Bank Code of the Designated Bank |
| (3) | (variable) | Bank Name of the Designated Bank |
| (4) | RUN DATE | Date of the report |
| (5) | RUN TIME | Time of the report |
| (6) | CCY | Currency code of the CCASS money settlement instruction |
| (7) | Branch Code or BCH | Branch Code of the Designated Bank |
| (8) | TOTAL DR COUNT | Total number of debit payment instructions, i.e. total number of DDI and EPI Debit for a particular currency |
| (9) | TOTAL DR AMOUNT | Total amount of debit payment instructions, i.e. sum of DDI Amount and EPI Debit Amount for a particular currency, with a negative sign |
| (10) | TOTAL CR COUNT | Total number of credit payment instructions, i.e. total number of DCI and EPI Credit for a particular currency |
| (11) | TOTAL CR AMOUNT | Total amount of credit payment instructions, i.e. sum of DCI Amount and EPI Credit Amount for a particular currency |
| (12) | BALANCE | Net sum of Total Credit Amount and Total Debit Amount for a particular currency |
| (13) | TOTAL NUMBER OF DDI | Total number of DDI for a particular currency |
| (14) | TOTAL AMOUNT OF DDI | Total amount of DDI for a particular currency, with a negative sign |
| (15) | TOTAL NUMBER OF DCI | Total number of DCI for a particular currency |
| (16) | TOTAL AMOUNT OF DCI | Total amount of DCI for a particular currency |
| (17) | TOTAL NUMBER OF EPI DEBIT | Total number of EPI Debit for a particular currency |
| (18) | TOTAL AMOUNT OF EPI DEBIT | Total amount of EPI Debit for a particular currency, with a negative sign |
| (19) | TOTAL NUMBER OF EPI CREDIT | Total number of EPI Credit for a particular currency |
| (20) | TOTAL AMOUNT OF EPI CREDIT | Total amount of EPI Credit for a particular currency |
| (21) | ACCOUNT NUMBER or | Bank account number of the CCASS Participant maintained with the Designated Bank for CCASS |

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| | A/C NUMBER | money settlement purpose |
| (22) | ACCOUNT NAME | Bank account name of the CCASS Participant maintained with the Designated Bank for CCASS money settlement purpose |
| (23) | PART ID | Participant ID of the CCASS Participant which has maintained a bank account with the Designated Bank for CCASS money settlement purpose |
| (24) | DDI / DCI or EPI NO | Reference Number assigned by CCASS for each DDI, DCI and EPI |
| (25) | TC | Transaction Code of the CCASS money settlement instruction: <ul style="list-style-type: none"> - 26 HKSCC Net Debit (i.e. DDI) - 27 HKSCC Net Credit (i.e. DCI) - 28 HKSCC EPI Debit - 29 HKSCC EPI Credit |
| (26) | DDI / DCI AMOUNT or EPI AMOUNT | Amount of the CCASS money settlement instruction, i.e. DDI, DCI or EPI. The value carries a negative sign for DDI and EPI Debit and without a sign for DCI and EPI Credit |
| (27) | CPTY ACCOUNT NO | Bank account number (including bank code, branch code and account number) of the CCASS Participant's counterparty for the payment |
| (28) | CPTY ACCOUNT NAME | Bank account name of the CCASS Participant's counterparty for the payment |
| (29) | CCASS DEBTOR REF | Debtor reference assigned by CCASS |